

Japanese speakers can infer specific sub-lexicons using phonotactic cues*

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Abstract

Phonotactic restrictions do not usually hold uniformly across the entire lexicon of a particular language, and thus the lexicon of a natural language is often assumed to consist of different sub-lexicons. A question that arises is how specific these sub-lexicons can be. A classic, conservative approach is to posit only broad distinctions, such as the distinction between native words vs. borrowed words. An alternative approach is to posit more specific miniature lexicons, such as a set of morphemes that show a particular morpheme-specific pattern or a set of loanwords from a particular language. With this general theoretical issue in mind, this paper first points out that there are phonotactic restrictions that can cue a very specific class of words in Japanese; e.g. geminate /rr/ occurs almost exclusively in loanwords from Italian. Building upon these novel observations, the current experiments tested whether Japanese speakers can infer specific word classes such as “snack names”, “Italian restaurant names” and “German names” based on particular phonotactic cues. The results of the two experiments support the idea that the lexicon of a natural language can consist of very specific sub-lexicons, at least going beyond the often posited native vs. loanword distinction, and that these specific sub-lexicons can be psychologically real.

Keywords: sub-lexicon, Japanese, the Pocky effect, geminate /rr/, geminate /hh/

*We would like to thank Hiro Katsuda for his advice on using the BCCWJ to confirm the initial intuitions we had, and Tomoko Tanaka for her help with the analyses of the corpus. We also received helpful feedback on the design of the experiments from the participants of a seminar that the first author gave at International Christian University, especially Honoka Endoh, Rina Furusawa and Haseru Ida. We received constructive comments from two anonymous reviewers, Jason Shaw and the audience at the Phonological Society of Japan, which improved the quality of the paper. Donna Erickson proofread the latest version of the paper. All remaining errors are ours.

1 Introduction

1.1 General theoretical background

Phonotactic restrictions—restrictions on which sounds can appear in what position and how these sounds can be arranged—do not often hold uniformly across the entire lexicon of a given language. Typical cases come from differences between native words and loanwords, in which particular sounds and/or sound sequences are often only allowed in loanwords but not in native words. For instance, in English most if not all words with stress on their final syllables are loanwords from French (Gelbart 2005). Japanese also exhibits such patterns, in which the lexicon is considered to consist of several lexical strata: native words, Sino-Japanese words, recent loanwords and mimetic words (Ito & Mester 1995, 1999, 2008). These sub-lexicons are subject to different sets of phonotactic restrictions; e.g. voiced obstruent geminates are allowed only in recent loanwords but not in other types of words; similarly, Sino-Japanese morphemes and mimetic roots are maximally bimoraic (Ito & Mester 1996), but no such size restrictions appear to hold in native words or recent loanwords. See Ito & Mester (1995) for a review of similar examples from other languages.

Experimental studies have shown that such phonotactic restrictions that hold specifically to a subset of the lexicon are, at least in some cases, psychologically real, in that they can influence the perception of ambiguous acoustic signals—listeners can use these cues to decipher the lexical class of the stimuli (Gelbart 2005; Gelbart & Kawahara 2007; Moreton & Amano 1999). For instance, Sino-Japanese does not allow either singleton /p/ or long /aa/, whereas loanwords allow both. When Japanese listeners hear a nonce word with /p/, they tend to perceive the /a/-/aa/ continuum more likely to be long than the control condition (Moreton & Amano 1999). On the other hand, recent loanwords generally do not exhibit a palatalized /ɾj/, which is very common in Sino-Japanese compounds (e.g. /ɾjokoo/ ‘travel’). Upon hearing nonce words with a palatalized /ɾj/, Japanese listeners are biased toward perceiving the /a/-/aa/ continuum to be short compared to the control condition. This experiment by Moreton & Amano (1999) thus shows that listeners can use phonotactic cues—such as singleton /p/ and palatalized /ɾj/—to decipher which lexical class the incoming acoustic signal belongs to, which in turn affects their short /a/ vs. long /aa/ perception.

One question that arises in this context is how fine-grained the sub-division of the lexicon can be in natural languages. The “classic” (and also conservative) view is to postulate only very general divisions, perhaps with independent etymological motivations. Take the case of Japanese, for example—Ito & Mester (1995, 1999, 2008) posit four general strata (native, Sino-Japanese, foreign and mimetics). Similarly, in English, we could posit a very general distinction between native words and recent loanwords (Gelbart 2005), and perhaps within “native” words, a distinction between Germanic roots vs. Latinate roots (Chomsky & Halle 1968).

36 On the other hand, there is an alternative, less-conservative proposal. For example, the re-
37 search approach now widely known as “co-phonology” argues that there can co-exist many types
38 of morphologically-conditioned phonological patterns in a single language, and posits that there
39 can be as many numbers of phonological sub-systems as the number of such morpheme-specific
40 patterns (Anttila 2002; Inkelas et al. 1996, 1997; Inkelas & Zoll 2007; Orgun 1996; Sande 2020
41 among many others—see also Pater 2005). A similar idea is proposed by a line of work dubbed
42 “sublexical phonology” (Becker & Gouskova 2016; Blake & Becker 2015; Gouskova & Ahn 2024;
43 Gouskova et al. 2015), which posits that “learning lexically specific morphological and phonologi-
44 cal rules involves separating the lexicon into sublexicons. Phonological generalizations about the
45 application of such rules are encoded in part as phonotactic grammars learned over sublexicons.”
46 (Gouskova & Ahn 2024: 6).¹

47 With this general theoretical debate in mind, the current study explores how specific such sub-
48 lexicons can be. Our case study is based on novel observations about the phonotactic restrictions
49 that are associated with very specific parts of the Japanese lexicon. The experiments reported
50 below examined whether Japanese speakers are only sensitive to the broad sub-lexicons that
51 have been traditionally posited (i.e. native, Sino-Japanese, foreign and mimetics), or alternatively,
52 whether they are sensitive to more fine-grained distinctions. This question is addressed through
53 the investigation of the three novel, specific phonological observations, explained in detail in the
54 next subsection.

55 Before we proceed to the specifics, one general remark is in order. Japanese offers a particu-
56 larly interesting testing ground to address this general issue, because not only are the traditional
57 distinctions between native words, Sino-Japanese words and recent loanwords clearly motivated
58 on phonological grounds (Ito & Mester 1995, 1999, 2008), but Japanese uses different orthographic
59 systems for different word classes; i.e. the *hiragana* system for native words, the *katakana* system
60 for loanwords and mimetics, and the *kanji characters* for native and Sino-Japanese words. In ad-
61 dition, learning these lexical classes is a part of obligatory elementary school education. On the
62 one hand, the use of the *katakana* orthography, for example, may give rise to a sense of unifor-
63 mity among recent loanwords. On the other hand, when there is a phonotactic cue that signals
64 a specific set of loanwords from a particular language, that cue may indeed be psychologically
65 associated with that specific sub-lexicon.

66 **1.2 The specific hypotheses tested**

67 The current study tested three phonotactic tendencies that can potentially cue a specific sub-
68 lexicon in Japanese, which are listed in (1):

¹For proposals regarding how such sub-lexicons may be learned, see Morita (2018), Pater (2005) and Shaw (2006).

- 69 (1) Phonotactic tendencies that can potentially cue a specific sub-lexicon
70 a. Singleton /p/ often appears in snack names.
71 b. Geminate /ʃʃ/ appears almost exclusively in loanwords from Italian.
72 c. Geminate /hh/ appears almost exclusively in loanwords from German.

73 The first observation is reported and discussed in a recent popular science book (Kawahara 2023),
74 which grew out of the dialogue-based linguistics lecture that the author gave to elementary school
75 children. There, one student pointed out that there are many snack names that contain singleton
76 /p/ in Japanese (e.g. /pokkii/, /papiko/, /poiuru/, /porinkii/ and /pai-no-mi/)—the observation
77 which we may mnemonically dub “the Pocky effect”—and she asked why. Kawahara (2023) did not
78 offer a quantitative backup of this observation, but assuming that /p/ is indeed overrepresented
79 in snack names in Japanese, he speculated that singleton /p/ is used to represent European—as
80 opposed to traditional, Japanese—snack names, because, (non-post-nasal) singleton /p/ appears
81 only in loanwords in Japanese (Ito & Mester 1995, 1999, 2008); in a sense, singleton /p/ therefore
82 cues “foreignness” in Japanese, as the experiment by Moreton & Amano (1999) demonstrates,
83 which the product companies may be taking an advantage of.² He additionally entertains the
84 possibility that /p/ is preferred in snack names because /p/ is known to convey a sound symbolic
85 meaning of “cuteness” in Japanese (Kawahara 2019; Kumagai 2019). Regardless of whether these
86 conjectures offered by Kawahara (2023) are on the right track, this dialogue raised an interesting
87 question that should be empirically tested: whether Japanese speakers indeed associate singleton
88 /p/ with snack names, i.e. whether the observation made by the elementary school student is
89 psychologically real or not.

90 As for the second hypothesis, we are not aware of any previous systematic study on the
91 observation, but it was instead initially based on our intuitions as native speakers of Japanese.
92 The native phonology of Japanese does not allow geminate /ʃʃ/ (Kawahara & Pangilinan 2017;
93 Kuroda 1965; Labrune 2014), perhaps because it is a flap which is intrinsically characterized by
94 its short duration. However, geminate /ʃʃ/ is observed in very recent loanwords, and appears
95 mainly in names for Italian foods (e.g. /huzirri/ ‘fusilli’, /ɸaruɸarre/ ‘Farfalle’ and /kitarra/ ‘chi-
96 tarra’). Tanaka (2017), which offers a corpus-based analysis of Italian loanwords in Japanese,
97 shows that almost any type of geminates can appear in loanwords borrowed from Italian, except
98 for glide geminates which Italian itself does not have.³ This recent use of /ʃʃ/ in Japanese was

²This idea can be formally captured by a family of EXPRESS(x) constraints proposed by Alderete & Kochetov (2017), which requires that certain sounds be used to express a particular semantic notion; e.g. use palatal consonants and/or high front vowels to express smallness. It is not hard to imagine that a stochastic version of a constraint like EXPRESS(p)FORSNACK is at work here.

³Geminate /ʃʃ/ can appear in any type of words, including native words, if they are created via emphatic gemination, e.g. [kaʃʃui] ‘surprisingly light’. This emphatic gemination process is known to create otherwise restricted types of geminates, such as voiced obstruent geminates in native words (Kawahara 2002). When geminated, /ʃʃ/ tends to be produced with lateral-like articulations (see Morimoto 2020 for the articulatory study of geminate /ʃʃ/ in

99 likely prompted by the fact that Italian has geminate /ll/ and /rr/ as their phonemes (De Benedetto
100 & De Nardis 2021).

101 To more objectively access our initial intuition in a quantitative manner, we have consulted
102 the frequency list of the Balanced Corpus of Contemporary Written Japanese (BCCWJ: Maekawa
103 et al. 2014),⁴ which revealed 64 types of words containing /rr/. Among those, most, if not all,
104 of them are borrowed from Italian, although there were 9 words whose origin was unclear after
105 searching with Google and other tools. The only exception is /aruhurra/, which is likely to have
106 come from ‘al-hurra’ in Arabic; in addition to this, albeit not being found in the corpus, we think
107 that /arraa/ is a possible pronunciation of the Arabic word ‘Allah.’ However, these two seem to
108 be the sole exceptions, perhaps because Japanese has so far borrowed more words from Italian
109 than from Arabic. At any rate, it seems safe to conclude that /rr/ appears mostly if not exclusively
110 appear in loanwords from Italian. Having established this connection, the experiment reported
111 below addressed whether Japanese speakers do indeed associate /rr/ with the sub-lexicon of “Ital-
112 ian names,” thereby also testing whether such a very specific sub-lexicon can be psychologically
113 real.

114 The third hypothesis is concerned with a certain pattern found in loanwords from German—
115 Japanese borrowed many medical, philosophical and other technical terms from German, and
116 these borrowings can contain geminate /hh/, which generally corresponds to a word-final velar
117 or palatal fricative in original German words. According to our intuition, such words with /hh/
118 are largely limited to those loanwords from German, including /bahha/ ‘Bach’, /mahha/ ‘Mach
119 number’ and /riibihhi/ ‘Liebig’, which are also characterized by copy vowel epenthesis in word-
120 final position (Kawahara 2007). These geminates, surrounded by the identical vowels, do not
121 occur in native words, and rarely occur in other types of loanwords.

122 We have searched BCCWJ for those words containing geminate /hh/, which revealed 73 types
123 of words, many of which are based on German words. There were 9 words, such as /boit̤ahha/
124 and /uratahha/, whose origin was not very clear even after searching with Google. The non-
125 German origin loanwords containing /hh/ that we found in the corpus were /sutahhu/ ‘stuff’,
126 /hurahhu/ ‘fluff’ and /sunahhu/ ‘snuff’, which are borrowed from English, as well as /buhha/
127 ‘Tunisian alcohol’ and /φorusutahhu/ ‘Falstaff, (English/Italian) opera name’, but these tokens do
128 not have identical vowels surrounding the geminate. The only robust robust exception was the
129 name of the painter van Gogh, borrowed as /gohho/ (and another token of a compound including
130 this word), which comes from Dutch, a West Germanic language whose phonology is similar to
131 the closely related German. However, the Japanese form /gohho/ suggests that the adaptation

Japanese).

⁴<https://clrd.ninjal.ac.jp/bccwj/en/index.html>, consulted May 2024. Since the analysis is based on a published corpus, we are not able to make our analysis files publicly available, but they are available upon request.

132 channel for this particular item can be through another language (possibly German), because the
133 first consonant of Gogh is not a stop but a fricative in Dutch. Setting this complicated example
134 aside, at least many of the relevant tokens were of the German origin. There thus seems to
135 be some statistical connection between geminate /hh/ and German names, which is useful for
136 addressing the question of whether a sub-lexicon that is as specific as “German names” can be
137 psychologically real in the minds of Japanese speakers.

138 2 Experiment 1

139 2.1 Method

140 2.1.1 Task

141 The experiment was designed to address whether Japanese speakers associate /p/, /rr/ and /hh/
142 with snack names, Italian names, and German names, respectively. In the current experiment, the
143 participants were presented with one stimulus item, and were asked to judge whether that item
144 is better suited as a name of one genre (=the target category) or the other (=the control category).

145 For the first hypothesis (the connection between /p/ and snack names—the Pocky effect), the
146 participants were asked whether each name is an European snack name or a cosmetic brand name,
147 the latter of which is used for comparison because most cosmetic names in Japan are loanwords,
148 just like European snack names, and are usually written with the *katakana* orthography. For the
149 second hypothesis (the connection between /rr/ and Italian names), the participants were asked
150 whether each stimulus is a name for an Italian restaurant or a name for a French restaurant. These
151 two types of restaurants are both common in the current Japanese community, and since French
152 does not have geminate liquids, no borrowed words from French in Japanese contain /rr/.⁵ For
153 the third hypothesis (the connection between /hh/ and German names), the participants were
154 asked whether a given name is a German celebrity name or an English celebrity name.

155 2.1.2 Stimuli

156 The list of the stimuli is shown in Table 1. Since we used the Buy Response function of Survey-
157 Monkey (see below), we were limited to have 50 questions, including one question to present
158 the consent form, one question to check qualification for participation, and another question to

⁵There are French words that contain orthographic ‘rr’ such as ‘Pierre,’ ‘Pierrot,’ ‘marron,’ and ‘surréalisme’, but these are borrowed with singleton /r/ in Japanese, i.e., /pieeru/, /piero/, /maron/ and /εuururearisumu/. See Shinohara (2004) for extended discussion on how French words are borrowed into Japanese. There are several possible reasons why only Italian loanwords allow geminate /rr/; e.g. purely orthographic geminate ‘rr’ was not borrowed as geminates; phonetic realizations of ‘r’ differ between French and Italian; borrowing from Italian occurred more recently than borrowing from French, and Japanese speakers may have become more willing to accommodate types of geminates that their phonology otherwise does not permit.

159 present the instructions for the experiment. Therefore we had 8 pairs of items for the first two
 160 hypotheses ($8 \times 2 \times 2$) and 7 pairs of items for the third hypothesis (7×2), resulting in a total
 161 of 49 questions ($3+32+14$).

Table 1: The list of nonce words used in the experiment.

	Target	Control
/p/=snack	/pariko/ /pasomi/ /penaro/ /posone/ /pamore/ /parase/ /pesemo/ /poniru/	/tarikō/ /tasomi/ /tenaro/ /tosone/ /kamore/ /karase/ /kesemo/ /koniru /
/rʀ/=Italian	/metorra/ /makorro/ /nesorra/ /nokirre/ /temerro/ /tamirra/ /kanorri/ /tonorre/	/metobba/ /makobbo/ /nesodda/ /nokidde/ /temeddo/ /tamigga/ /kanoggi/ /tonogge/
/hh/=German	/bohho/ /kuhhu/ /gehhe/ /gahha/ /rehhe/ /bihhi/ /nahha/	/boppo/ /kuppu/ /geppe/ /gatta/ /rette/ /bikki/ /nakka/

162 For the first hypothesis, the target items began with /p/, whereas the control items began
 163 with either /t/ or /k/. The rest of the words was identical between the target items and the control
 164 items. We avoided using high vowels after /t/, because they cause affrication of the preceding stop
 165 (Vance 2008). For the second hypothesis, the target items included /rʀ/ whereas the control items
 166 contained voiced obstruent geminates, which signaled that control items were also loanwords (Ito
 167 & Mester 1995, 1999, 2008).⁶ For the third hypothesis, the target items contained /hh/, whereas
 168 the control items contained voiceless stop geminates. We included geminates in the control items

⁶After the results of both Experiments 1 and 2 were all analyzed, it was pointed out by an anonymous reviewer that Italian does not have /gg/ and hence using /gg/ in the control items could have worked as a confound. For a post-hoc analysis addressing this concern, which turned out not to be a problem, see the Appendix.

169 for the second and third hypotheses to make sure that it is not a mere presence of any kind of
170 geminates that cue a particular sub-lexicon.

171 **2.1.3 Participants**

172 The experiment was conducted online using SurveyMonkey. The participants were collected
173 using the Buy Response function offered by SurveyMonkey. A total of 162 native speakers of
174 Japanese (female=71; male=91), who confirmed that they have not studied either sound symbol-
175 ism (related to /p/ or not) or Japanese phonetics/phonology, completed the experiment.

176 **2.1.4 Procedure**

177 In the instructions, the participants were told that in each trial, they are given one name and two
178 categories and were asked to choose which category better fits that name. Example questions
179 were thus, “given /pariko/, is the name better for a European snack or a cosmetic product?”
180 and “given /metorra/, is the name better for an Italian restaurant name or a French restaurant
181 name?” Each stimulus was presented in isolation, not in a pair, i.e. the experiment was a forced-
182 choice task, not a two-alternative forced choice (2AFC) task. The stimuli were all written in
183 the *katakana* orthography, which is used primarily for loanwords in the Japanese orthographic
184 system. Although the stimuli were presented in written forms, the participants were asked to
185 produce each form before they register their response. The order of the stimuli was randomized
186 by SurveyMonkey.

187 **2.1.5 Statistical analyses**

188 For statistical analyses, we fit a Bayesian mixed effects logistic regression model, using the *brms*
189 package (Bürkner 2017) implemented in R (R Development Core Team 1993–). For accessible in-
190 troduction to Bayesian modeling, we would like to refer the readers to Franke & Roettger (2019),
191 Kruschke (2014), Kruschke & Liddell (2018), McElreath (2020), and Vasisht et al. (2018). Simply
192 put, Bayesian analyses use a prior distribution and the obtained data to yield a posterior distri-
193 bution of a parameter that we would like to estimate.

194 One common way to interpret the results of Bayesian regression models is to examine the
195 middle 95% of the posterior distribution of an estimate parameter, $\hat{\beta}$, which is known as a 95%
196 credible interval (also known as high density interval). If its credible interval does not include
197 zero, then that effect can be considered to be meaningful/credible. However, in Bayesian analyses,
198 we do not need to be bound by the “credible” vs. “non-credible” dichotomy, unlike in a frequentist
199 statistical testing with a strict “significant” vs “non-significant” dichotomy. That is, another way
200 to interpret the results of Bayesian regression models is to calculate how many samples of the

201 coefficient of interest are in the expected direction in the posterior distribution. In the current
202 paper, we present both measures to interpret the results.

203 The details of the current model specifications were as follows. The dependent variable was
204 whether each item was chosen as the target category name (“snack names”, “Italian names”, “Ger-
205 man names”) or not. The main independent variable is the fixed factor encoding whether each
206 item was the target (containing the phonotactic cue) or the control (not containing the phono-
207 tactic cue). We included a random intercept for items and a random intercept and slope for
208 participants associated with that fixed factor.

209 For prior specifications, we used a Normal(0, 1) weakly informative prior for the intercept
210 (Lemoine 2019) and a Cauchy prior with scale of 2.5 for the slope (Gelman et al. 2018). Four chains
211 with 2,000 iterations were run, and the first 1,000 iterations from each chain were disregarded as
212 warmups. All the \hat{R} -values were 1.00 and no divergent transitions were detected. The raw data,
213 the R Markdown file with the R syntax as well as the posterior samples are available in an OSF
214 repository.⁷

215 **2.2 Results**

216 **2.2.1 Snack names**

217 Figure 1 shows the results concerning the Pocky effect, which is the violin plot representing the
218 distributions of “snack responses ratios” for the control items (those that begin with either /t/ or
219 /k/) and for the target items (=those that begin with /p/). Transparent blue circles, slightly jittered
220 to avoid overlap, represent averaged responses for each condition from each participant. Solid red
221 circles are the grand averages in each condition and the red bars around the circles represent the
222 bootstrap 95% confidence intervals around these averages, calculated by the `ggplot` package
223 (Wickham 2016).

⁷<https://osf.io/97zc5/>

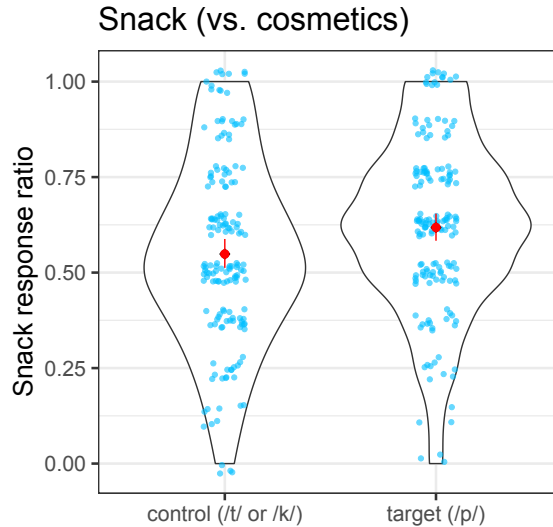


Figure 1: The comparison between the control and the target items in terms of the probabilities of being chosen as snack names, as opposed to cosmetic names.

224 The grand averages for the control and the target conditions were 0.55 vs. 0.62, respectively,
 225 which shows that the items beginning with /p/ were more likely to be judged as snack names
 226 than the control items.

227 The result of the Bayesian analysis shows that the central coefficient estimate ($\hat{\beta}$) of the dif-
 228 ference between the control and the target is 0.33, suggesting that the target items with singleton
 229 /p/ induced more snack responses than the control items with /t/ or /k/. The 95% credible interval
 230 of this coefficient is [-0.09, 0.75]. Although this interval includes 0, it is heavily skewed toward
 231 the positive values, and the posterior probability of this coefficient being positive ($\hat{\beta} > 0$) is 0.95.

232 With this result, it seems safe to conclude with a reasonable amount of confidence that
 233 Japanese speakers indeed associate names with /p/ with snack names. In other words, the psy-
 234 chological reality of the Pocky effect (Kawahara 2023) is corroborated by the current behavioral
 235 experiment.

236 2.2.2 Italian names

237 To assess the second hypothesis, Figure 2 shows the violin plot of the “Italian responses ratios” for
 238 the control condition and target condition, the latter of which contained /rɾ/. The grand average
 239 was 0.64 for the control condition vs. 0.66 for the target condition.

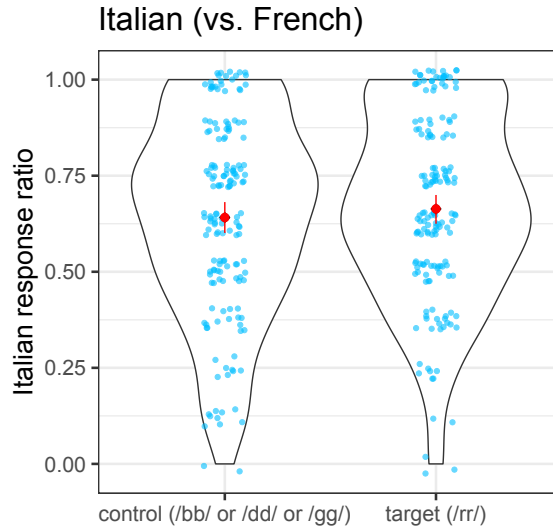


Figure 2: The comparison between the control and the target items in terms of the probabilities of being chosen as Italian restaurant names as opposed to French restaurant names.

240 The difference is in the expected direction—those that contain /rr/ were slightly more likely
 241 to be judged to be Italian names than those that contain voiced obstruent geminates. However,
 242 the magnitude of this difference is very small. The central coefficient estimate of this difference is
 243 0.11, with its 95% credible interval being [-0.13, 0.35]. The posterior probability of this coefficient
 244 being positive is 0.83. The evidence for this difference thus appears to be weak or at best modest.

245 2.2.3 German names

246 Figure 3 shows the probability distribution of the German response ratios for the stimuli that
 247 were intended to address the third hypothesis. The grand average for the control items was
 248 0.60 and the average for the target items is 0.71, suggesting that those nonce items containing
 249 /hh/, surrounded by the same vowels, were more likely to be judged as German celebrity names.
 250 The central estimate of this coefficient $\hat{\beta}$ for this difference is 0.67, with its 95% credible interval
 251 being [-0.11, 1.40]. The probability of this coefficient being positive in the posterior distribution,
 252 $p(\hat{\beta} > 0)$, is 0.95. These results suggest that Japanese speakers do indeed tend to associate /hh/
 253 with German names, as opposed to English names.

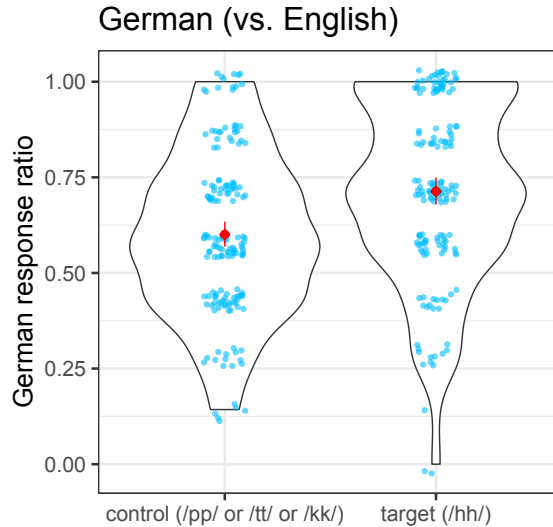


Figure 3: The comparison between the control and the target items in terms of the probabilities of being chosen as German celebrity names, as opposed to English names.

2.3 Discussion

The results of the first experiment show that we have reasonable amount of evidence to believe that Japanese speakers associate /p/ with snack names and /hh/ with German celebrity names, and we have weak or perhaps modest evidence showing that Japanese speakers associate /rr/ with Italian names. More generally speaking, the results show that Japanese speakers can associate nonce words with a particular phonotactic cue to a very specific lexical class, at least going beyond the traditional distinction between native words vs. loanwords.

The connection between /rr/ and Italian restaurant names, as revealed in this experiment, was not very robust, however. One possibility is that those who are not familiar with linguistics—which was exactly the pool of participants in this experiment—may not clearly distinguish French names and Italian names. Another possibility is that the participants may have avoided associating names with voiced obstruents with French names—if we look back the results in Figure 2, the control items (containing voiced obstruent geminates) were associated with Italian names with the probability that is much higher than chance (=0.64). And actually, with hindsight, there seems to be a good reason for them to have done so. Our post-experimental search shows, for example, that the website⁸ which lists 131 popular French loanwords in Japanese, contains no instance of a French loanword containing a voiced obstruent geminate. This consideration suggests that the results of Experiment 1 may have been affected by how the control categories, rather than the

⁸<https://origamijapan.net/origami/2019/07/09/france-gairaigo/>, last access May 2024/

272 target categories, were judged.

273 For the third hypothesis also, an alternative interpretation was pointed out by our anony-
274 mous colleague—it may be the case that the participants were not so much familiar with German
275 names, but instead they were only familiar with English names. As long as they have a gen-
276 eral idea of how English loanwords look like, they may have realized that /hh/ is absent in such
277 words, and may have associated those words with /hh/ with “the non-English option.” This al-
278 ternative, together with the possibility discussed in the preceding paragraph, point to a general
279 methodological issue in Experiment 1: the participants may have been making judgments about
280 the control categories (e.g. “no voiced obstruent geminates in French names” and “no /hh/ in
281 English names”) rather than the target categories.

282 A possibly related concern may be raised for the snack vs. cosmetic comparison as well. More
283 concretely, it is not clear whether participants of all ages—and both genders—had the same knowl-
284 edge regarding how cosmetic names generally sound like in Japanese. Familiarity with cosmetic
285 names could have varied between speakers, and hence this variability could have worked as an
286 additional complication, if not as a confound, in Experiment 1.

287 To address this general concern—that the results of Experiment 1 may have been influenced
288 by judgments about the control categories—in the next experiment, we avoided comparing target
289 categories and control categories, because after all, we are not directly interested in how control
290 categories behave. Instead, we asked the participants to judge how suitable each name is for the
291 three respective target categories.

292 In addition, after we ran Experiment 1, we realized that one of the control items for the third
293 hypothesis, /geppe/, could have sounded too close to /gebberusu/ ‘Goebbles’—an (in)famous Ger-
294 man historical figure—whose /bb/ can be devoiced, because of its OCP(voice) violation due to its
295 co-occurrence with /g/ (Kawahara 2006). As a post-hoc analysis, we compared the German re-
296 sponse ratio for /geppe/ and that of the other items, which showed the /geppe/ was judged to be
297 a German name 81.5% of the time, while the average response for the other control items is 56.5%.
298 The use of this particular item was thus not ideal, an issue that we also fixed in Experiment 2.⁹

299 **3 Experiment 2**

300 **3.1 Methods**

301 The crucial methodological differences between Experiment 1 and Experiment 2 were as follows.
302 Experiment 2 only tested the three target categories (“snack names”, “Italian names” and “German
303 names”) instead of comparing them to the control categories, because the results of Experiment

⁹We refrain from running a new statistical analysis without /geppe/, to avoid HARKing (Hypothesizing After the Results are Known) (Kerr 1998).

304 1 may have been influenced by how the control categories were judged. Concretely, in this next
305 experiment, we presented each stimulus and asked how suitable each name is for the three re-
306 spective target categories, using a 4-point Likert scale, with the following labels: 1=“not at all
307 suitable”, 2=“not so suitable”, 3=“suitable” and 4=“very suitable.” Example questions are thus,
308 “How suitable is /pariko/ as a snack name?” and “How suitable is /bohho/ as a German celebrity
309 name?” We used the same set of stimuli as Experiment 1 (see Table 1), except that for the rea-
310 son discussed above, we replaced /gehhe/ and /geppe/ with /gihhi/ and /gippi/, respectively. As
311 with Experiment 1, the participants were asked to read each stimulus before registering their
312 responses.

313 For this experiment, we used a snow-balling sampling method primarily using the first au-
314 thor’s X account (formerly Twitter). The data from 162 native speakers of Japanese were collected
315 (gender information was not asked for this experiment). Since the responses were obtained using
316 a Likert scale, we used an *ordinal* logistic regression. Other details of the statistical analysis were
317 identical to that of Experiment 1. The files used for the statistical analyses for this experiment are
318 also made available at the above-mentioned OSF repository.

319 **3.2 Results**

320 **3.2.1 Snack names**

321 Figure 4 is a violin plot showing how suitable the control items (with /t/ or /k/) and the target
322 items (with /p/) were judged as snack names, i.e. a test of the Pocky effect. We observe that the
323 latter items were judged to be more suitable (the grand averages were 2.53 vs. 2.86, respectively).
324 The central estimate of this coefficient ($\hat{\beta}$) for this difference is 0.86 with its 95% credible interval
325 being [-0.44, 2.19]. The posterior probability of this coefficient being positive ($\hat{\beta} > 0$) is 0.91.

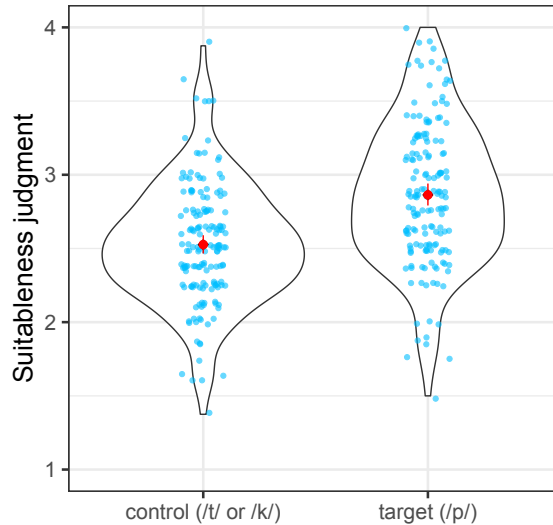


Figure 4: The comparison between the control and the target items in terms of how suitable they were judged as snack names.

3.2.2 Italian names

Figure 5 shows how suitable the control items (with a voiced obstruent geminate) and the target items (with geminate /ʀʀ/) were judged as the names of Italian restaurants. Compared to the results of Experiment 1, we observe a rather substantial difference between the two conditions (2.22 vs. 2.94). The central coefficient estimate for this difference was 2.12, with its 95% credible interval being [1.56, 2.69]. All the posterior samples were positive ($\hat{\beta} > 0 = 1$), suggesting that the connection between /ʀʀ/ and Italian names is very robust. This result is consistent with the post-hoc speculation we made about Experiment 1; namely, the participants may have avoided associating names with a voiced obstruent geminate with French restaurant names, hence shrinking the difference between the control items with a voiced geminate and the target items with /ʀʀ/ in Experiment 1.¹⁰

¹⁰An anonymous reviewer asked if voiced geminates may have been judged to be not very suitable for Italian names in Experiment 2. With the average rating being 2.22, this may have been the case, although we note that in the German condition, the average rating for the control items was comparable (i.e. 2.35) and also that in Experiment 1, voiced obstruent geminates were not particularly disfavored as Italian names. If voiced geminates were indeed judged to be unsuitable for Italian names, however, it raises a question regarding whether the difference that we observe in Figure 5 is truly due to the effects of /ʀʀ/. A follow-up experiment with different control items, perhaps with a voiceless obstruent geminate, is necessary to address this concern. See also the Appendix.

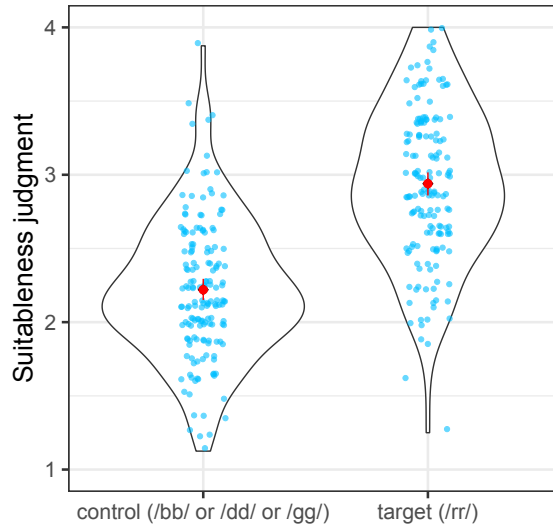


Figure 5: The comparison between the control and the target items in terms of how suitable they were judged as Italian restaurant names.

337 **3.2.3 German names**

338 Figure 6 shows the difference between the control items and the target items in terms of how
 339 suitable they were judged as German names. Those target items with /hh/ were judged to be
 340 more suitable than the control items with voiceless stop geminates (2.93 vs. 2.35); the central
 341 coefficient estimate is 1.62, with its 95% credible interval being [0.62, 2.57]. More than 99% of the
 342 posterior samples were positive, showing that this association is very robust.

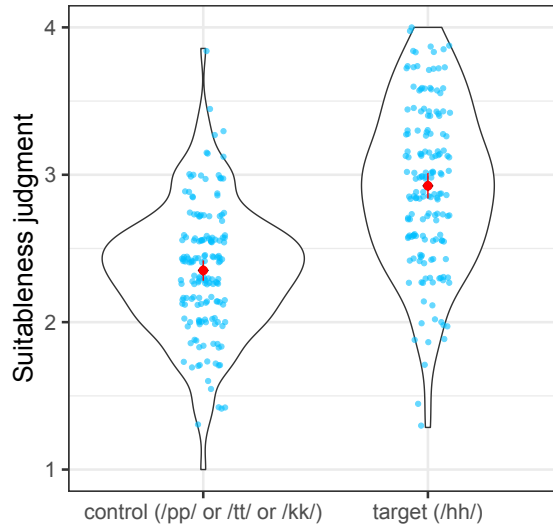


Figure 6: The comparison between the control and the target items in terms of how suitable they were judged as German names.

343 3.3 Discussion

344 The results were all in the expected direction and were generally clearer in Experiment 2 than
 345 in Experiment 1. Overall, the results suggest that Japanese speakers can infer, based a particular
 346 phonotactic cue, a very specific subpart of the lexicon, that is something as specific as “snack
 347 names”, “Italian names” and “German names”. In this sense, the traditional lexical stratification
 348 into native, Sino-Japanese, loanwords and mimetics does not suffice to explain the current exper-
 349 imental results.

350 4 General discussion

351 We started with a general question concerning how the lexicon can be organized into smaller sub-
 352 lexicons in a natural language. Phonotactic restrictions do not hold uniformly across the lexicon
 353 of a whole language and the lexicon thus seems to be organized into sub-lexicons—then, how fine-
 354 grained can this sub-division be? The classic, and conservative, view is that such division should
 355 be very general, perhaps with independent etymological/orthographic motivations (Chomsky &
 356 Halle 1968; Ito & Mester 1995, 1999, 2008). The alternative view is that the sub-lexicons can be
 357 very specific, as specific as “a set of roots that a particular affix is attached to” (Becker & Gouskova
 358 2016; Gouskova et al. 2015; Inkelas et al. 1996; Inkelas & Zoll 2007; Pater 2005).

359 To address this general question, the paper first pointed out three cases in which particu-

360 lar phonotactic tendencies can cue a very specific word-class in Japanese, two of which were
361 quantitatively examined by a corpus-study. We then moved on to two experiments, which have
362 demonstrated that Japanese speakers can infer specific lexical classes such as “snack names” and
363 “German names” based on phonotactic cues, which is compatible with the second view.

364 The current study opens up several opportunities for future studies. For instance, it would
365 not be surprising if Japanese speakers differ in terms of how familiar they are with the Italian
366 or German cultures, and if so, they have different degrees of knowledge about the relevant sub-
367 lexicons. Other factors, such as socio-economic statuses and educational levels may influence
368 how these sub-lexicons are structured. Generally speaking, then, future studies should explore
369 the inter-speaker variability that may exist regarding the sort of sub-lexicons that are identified
370 in the current experiment.

371 Moreover, the current study focused on three specific cases of phonotactic cues that are as-
372 sociated with a specific sub-lexicon, but it is not hard to imagine that there can be other similar
373 cases in Japanese and other languages. For example, it has been pointed out that back vowels
374 are overrepresented in ice cream names in English (Jurafsky 2014), and therefore, it would be
375 interesting to explore whether English speakers can use this cue to identify an ice cream name. It
376 is also not hard to imagine that among the loanwords in English, forms with only light syllables
377 may be overrepresented in those that are borrowed from Japanese, such as *Toshiba*, *Pikachu* and
378 *Yamaha*. It would be interesting to test whether English speakers would tend to identify new
379 nonce words with only light syllables as those borrowed specifically from Japanese, while also
380 examining the degree to which the familiarity with the Japanese culture influences the strength
381 of such associations. In short, more experiments are warranted to address just how fine-grained
382 sub-lexicons in natural languages can be, and how these sub-lexical structures may or may not
383 vary between speakers of the same speech community.

384 **Appendix**

385 After all the analyses were completed, it was pointed out by an anonymous reviewer that Italian
386 does not have /gg/. While both /bb/ and /dd/ are attested in Italian loanwords in Japanese, /gg/
387 is thus not (Tanaka 2017). This raises the question regarding whether the items containing /gg/
388 were disfavored as Italian names in our experiments. Noting that these are post-hoc analyses, we
389 compared the responses for the items containing /bb/, /dd/ and /gg/, separately. In Experiment 1,
390 the average Italian responses were: /bb/=0.62, /dd/=0.63, /gg/=0.67, which actually indicates that
391 the items with /gg/ were more likely to be associated with Italian names than those with /bb/
392 or /dd/. The average ratings in Experiment 2 were comparable across the three types of voiced
393 obstruent geminates: /bb/=2.22, /dd/=2.21, /gg/=2.23. These results imply that linguistically naive

394 native speakers of Japanese are probably unaware that Italian loanwords do not contain /gg/.
395 These are interesting results in and of themselves, but we reiterate that these are post-hoc analyses
396 and do not make any conclusive statements. These results may imply, however, that it is possible
397 that not all phonotactic aspects of a specific set of loanwords are learned.

398 **Funding**

399 This project is supported by JSPS grants #22K00559 to the first author and #19K13164 to the second
400 author.

401 **Conflicts of interest**

402 We declare no conflicts of interest.

403 **Availability of data and material**

404 The data are available at <https://osf.io/97zc5/>

405 **Code availability**

406 The code is also available at <https://osf.io/97zc5/>

407 **Authors' contributions**

408 Both authors contributed to the conception and execution of the experiments. The first author
409 wrote the manuscript and the second author revised it. Both authors worked on the revision. The
410 statistical analysis was primarily conducted by the first author. The second author checked the
411 details.

412 **Ethics approval**

413 The current experiments were conducted with an approval from the first author's institute.

414 **Consent to participate**

415 The participants read the written consent form before participating in the experiments.

416 Consent for publication

417 Both authors approve that the current manuscript be evaluated for publication in the journal.

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