

# The non-local nature of Lyman’s Law revisited\*

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## Abstract

Rendaku is a morphophonological alternation in Japanese in which the first obstruent of a second member of a compound becomes voiced (e.g. /nise+**tanuki**/ → [nise-**danuki**]). Lyman’s Law blocks this voicing process when the second member already contains a voiced obstruent, whether the blocker consonant is in the second syllable (e.g. /zaru+soba/ → [zaru-**soba**]) or in the third syllable (e.g. /çi+tokage/ → [çi+**tokage**]). Vance (1979), a seminal experimental study on rendaku, showed that in nonce words, the blockage of rendaku by Lyman’s Law is not deterministic; moreover, it found some evidence that the blockage effect tends to be stronger when the blocker consonant is in the second syllable than in the third syllable, i.e. Lyman’s Law may be sensitive to a locality effect in nonce words. On the other hand, a naturalness judgment experiment by Kawahara (2012) failed to find this locality effect. To settle these conflicting results from the past studies, with a general issue of the replication crisis in linguistics in mind (Sønning & Werner 2021), we first conducted a large scale forced-choice experiment with 72 stimuli. The analysis of the responses from 180 native speakers of Japanese shows that Lyman’s Law is, at least for many speakers, sensitive to a locality effect. To investigate why Kawahara (2012) failed to find a locality effect, we next replicated Kawahara (2012) with a larger number of speakers, which found some evidence that the locality effect is identifiable in a naturalness judgment experiment as well. We conclude that Lyman’s Law is indeed sensitive to a locality effect, at least for many speakers of the contemporary Japanese, supporting the original insight by Vance (1979).

**Keywords:** rendaku, Lyman’s Law, dissimilation, locality, replication, experimental phonology

**Approximate word count:** 6,500

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# 1 Introduction

Dissimilation effects are often sensitive to a distance-and-decay effect: i.e. dissimilative forces are stronger between two closer segments (see Suzuki 1998 for a review; see also Bennett 2015 and Hansson 2001 for other extensive typological studies of dissimilation). For example, in Yimas, rhotic dissimilation applies only when two rhotics are in the adjacent syllables, but not when they are farther apart (Foley 1991, cited by Suzuki 1998). A well-known case of similarity-based phonotactic restrictions in Arabic is also more stringent between two adjacent consonants than between two non-adjacent consonants (Frisch et al. 2004). Against this cross-linguistic observation, this paper tests whether Lyman’s Law in Japanese—a dissimilation constraint against two voiced obstruents within a morpheme—is stronger between two local consonants than between two non-local consonants, since the past results on this question have been mixed.

Lyman’s Law most clearly manifests itself in the blockage of rendaku.<sup>1</sup> Rendaku is a morphophonological alternation process, in which the morpheme-initial obstruent of the second element (henceforth, E2) in a compound undergoes voicing, as in (1) (/h/ surfaces as [b] as a result of voicing, since /h/ in Japanese was historically—or is arguably underlyingly—/p/: McCawley 1968). Rendaku, however, is blocked when E2 already contains a voiced obstruent, as in (2) and (3). This blockage of rendaku is known as Lyman’s Law after Lyman (1894) (although Lyman is probably not the first scholar who found this generalization: see Vance 2022 for extended discussion on this point).

## (1) Examples of rendaku

- a. /nise+**tanuki**/ → [nise+**danuki**] ‘fake raccoon’
- b. /juki+**kuni**/ → [juki+**guni**] ‘snow country’
- c. /hoçi+**sora**/ → [hoçi+**zora**] ‘starry sky’
- d. /oçi+**hana**/ → [oçi+**bana**] ‘dried flower’

## (2) Blocking of rendaku by Lyman’s Law by a local voiced obstruent

- a. /çito+**taba**/ → [çito+**taba**], \*[çito+**daba**] ‘one bundle’
- b. /omo+**kage**/ → [omo+**kage**], \*[omo+**gake**] ‘resemblance’
- c. /mori+**soba**/ → [mori+**soba**], \*[mori+**zoba**] ‘cold soba’
- d. /çito+**hada**/ → [çito+**hada**], \*[çito+**bada**] ‘people’s skin’

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<sup>1</sup>A constraint against two voiced obstruents within a morpheme also functions as a phonotactic restriction in native words in Japanese—no native morphemes seem to contain two voiced obstruents; e.g. [çuda] ‘amulet’ and [buta] ‘pig’ are both existing words, but \*[buda] is not (Ito & Mester 1986). Lyman’s Law has been formalized as an OCP constraint on the feature [+voice] (Ito & Mester 1986) or as a locally-conjoined constraint against a voiced obstruent within a morpheme (Alderete 1997; Ito & Mester 2003). The domain of these constraints was assumed to be a root/morpheme, not the adjacent syllables, implying the non-local nature of this constraint. See Kawahara & Zamma (2016) for a more thorough review of the theoretical treatments of Lyman’s Law.

- 30 (3) Blocking of rendaku by Lyman's Law by a non-local voiced obstruent
- 31 a. /ni+tamago/ → [ni+tamago], \*[ni+damaɡo] 'boiled egg'
- 32 b. /umi+kurage/ → [umi+kurage], \*[umi+ɡurage] 'sea jellyfish'
- 33 c. /mitɕi+ɕirube/ → [mitɕi+ɕirube], \*[mitɕi+zirube] 'guide post'
- 34 d. /oo+haɕagi/ → [oo+haɕagi], \*[oo+baɕagi] 'big excitement'

35 In existing words, the blockage of rendaku is almost exception-less and it holds regardless of  
 36 whether the blocker consonant is in the second syllable, as in (2) or in the third syllable, as in (3).  
 37 Unambiguous cases of lexical exceptions of Lyman's Law include two local cases ([X-zabuɾoo]  
 38 'PROPER NAME' and [hun-zibaɾu] 'to tightly bind') and one non-local case ([nawa-baɕigo] 'rope  
 39 ladder').<sup>2</sup> Thus from the lexical patterns, it is not clear whether Lyman's Law is sensitive to a  
 40 locality restriction or not. In other words, learners of Japanese, who are exposed to the Japanese  
 41 data, would not know whether Lyman's Law would block rendaku to a stronger degree when the  
 42 blocker and rendaku-undergoer are in the adjacent syllables, as expected from a cross-linguistic  
 43 trend of dissimilation (Suzuki 1998).<sup>3</sup>

44 Vance (1979) is a seminal experimental study on rendaku, which addressed this question using  
 45 an experimental paradigm. He presented 50 nonce words, each combined with 8 real words, to  
 46 fourteen native speakers of Japanese and asked whether each compound should undergo rendaku  
 47 or not. The results showed, first of all, that the blockage of rendaku by Lyman's Law is not deter-  
 48 ministic, unlike in real words and hence nonce words can undergo rendaku in such a way that  
 49 they violate Lyman's Law. Moreover, the experiment found that for a number of speakers (eight  
 50 out of fourteen), the blockage of rendaku is more likely when the blocker and the undergoer are  
 51 in the adjacent syllables than when they are separated by one intervening syllable.<sup>4</sup> This result  
 52 would arguably instantiate a case of the emergence of the unmarked (TETU: McCarthy & Prince  
 53 1994) in an experimental setting, since, as discussed above, there is very little, if any, lexical evi-  
 54 dence for the locality effect on Lyman's Law (see e.g. Berent 2013, Coetzee 2009, Gallagher 2013,  
 55 2016, Shinohara 1997, Wilson 2006 and Zuraw 2007 for other cases in which experiments have  
 56 revealed a difference between two grammatical restrictions that are otherwise indistinguishable  
 57 from the lexical evidence). One could also arguably take this result as a case for the poverty of  
 58 stimulus argument (Chomsky 1986), because the lexical data from the actual spoken Japanese  
 59 does not distinguish the local blockage effect and the non-local blockage effect.

<sup>2</sup>There may be a few other possible cases of exceptions to Lyman's Law, although it is not clear that they are standard pronunciations: see §7.2.4 of Vance (2022) for detailed discussion on such forms.

<sup>3</sup>A locality effect on dissimilation is also expected to the extent that dissimilation has a phonetic underpinning, such as avoidance of perceptual confusion (Ohala 1981; Stanton 2019) and/or articulatory difficulty of repeating two similar/same gestures (Alderete & Frisch 2007; Pulleyblank 2002), because such phonetic problems are expected to be worse between local segments than between non-local segments.

<sup>4</sup>To be more specific, one speaker had no rendaku responses in either conditions; four speakers had a very small-size reversal (e.g. 20% vs. 17%); and only one speaker had a fairly clear reversal (44% vs. 14%).

60 However, a later experimental study by Kawahara (2012) failed to replicate this result by Vance  
61 (1979). This study was a naturalness judgment experiment, in which the participants were asked,  
62 using a 5-point Likert scale, how natural rendaku-undergoing forms were. That experiment had  
63 36 test items (12 items for three conditions, no Lyman’s Law violations, local Lyman’s Law viola-  
64 tions and non-local Lyman’s Law violations). The data were collected from 54 native speakers of  
65 Japanese. In that experiment, forms with the local violation were judged to be slightly less natu-  
66 ral than forms with the non-local violation (average naturalness ratings = 2.76 vs. 2.86), but this  
67 difference was not statistically significant, according to the test that Kawahara (2012) deployed.

68 Kawahara (2012) offered the following conjecture regarding where this difference between  
69 Vance (1979) and Kawahara (2012) might have come from. Another set of experiments reported  
70 by Ihara et al. (2009) showed that the locality effect of Lyman’s Law decreased from 1984 when  
71 they ran their first experiment compared to 2005 when they ran their second experiment. It may  
72 have been the case that this trend continued and it has disappeared completely by 2011, when  
73 Kawahara run his experiment. In other words, the locality effect of Lyman’s Law was fading  
74 away, as a part of historical change in Japanese phonology. Vance (2022), which reflects the most  
75 updated opinion by Vance himself, suspects that the fact that Vance (1979) found some evidence  
76 for a locality effect was due to some uncontrolled factors, implying that he now believes that  
77 Lyman’s Law is not sensitive to a locality effect after all (see §7.2.2).

78 To settle these conflicting results from the previous studies, the experiments reported in the  
79 current paper revisit this question—is Lyman’s Law sensitive to a locality effect after all? We  
80 were set out to run a new experiment with a large number of stimuli and a large number of  
81 participants, because one reason for why Kawahara (2012) failed to find the locality effect may  
82 have been due to a small number of  $N$ , i.e., the experiment simply lacked a sufficient statistical  
83 power (see e.g. Chambers 2017; Sprouse & Almeida 2017; Vasishth & Gelman 2021; Winter 2019  
84 for discussion on the general lack of statistical power in linguistics and neighboring fields).

85 One general issue that we had in mind as we revisited this old question, already addressed by  
86 these previous studies reviewed above, was “the replication crisis” (Chambers 2017; Open Science  
87 Collaboration 2015; Roettger 2019; Sönning & Werner 2021; Winter 2019), in which many results  
88 that are published in previous research cannot be replicated by later studies. One reason behind  
89 this general problem is insufficient statistical power, resulting from an insufficient number of  $N$ ,  
90 both in terms of participants and items. For the case at hand, Kawahara (2012) had only three  
91 items for each segment type that can undergo rendaku (/t/, /k/, /s/ and /h/, i.e. three items  $\times$   
92 four segments for each Lyman’s Law violation condition). Another reason behind the replication  
93 crisis may be the inappropriate use of (frequentist) statistical analyses (Chambers 2017). In this  
94 respect too, Kawahara (2012) made a mistake of concluding a null effect given a statistically non-  
95 significant result using a frequentist analysis, when he says “the locality effect has disappeared

96 by 2011” (p. 1197). One should not conclude a null effect given a non-significant result with a  
97 frequentist analysis.

98 To address these problems, our experiment included 72 stimuli and we collected data from  
99 about 200 speakers. We also resorted to a Bayesian analysis, as it would allow us to access to  
100 what degree we can believe in a null effect (Gallistel 2009), if the results were to show that no  
101 differences exist between a local violation of Lyman’s Law and a non-local violation of Lyman’s  
102 Law.

## 103 **2 Experiment 1**

### 104 **2.1 Method**

105 Following the open science initiative in linguistics as a step toward addressing the replication  
106 crisis problem (Cho 2021; Winter 2019), the raw data, the R markdown file and the Bayesian  
107 posterior samples are made available at an Open Science Framework (OSF) repository.<sup>5</sup>

#### 108 **2.1.1 Overall design**

109 The current experiment consisted of three conditions: (1) nonce words whose rendaku would not  
110 result in any violations of Lyman’s Law (e.g. [taruna]→[**daruna**]), (2) nonce words whose rendaku  
111 would incur a local violation of Lyman’s Law (e.g. [taguta]→[**daguta**]), and (3) nonce words  
112 whose rendaku would result in a non-local violation of Lyman’s Law (e.g. [tatsuga]→[**dat**suga]).  
113 The comparison between the first condition and the second condition would test the psychological  
114 reality of Lyman’s Law, which has been confirmed by a number of previous experimental studies  
115 (Ihara et al. 2009; Kawahara 2012; Kawahara & Sano 2014a,b; Kawahara & Kumagai 2023a,b; Vance  
116 1979). The comparison between the second condition and the third condition would test the (non-  
117 )local nature of Lyman’s Law, the main concern of the current experiment.

#### 118 **2.1.2 Stimuli**

119 Table 1 shows the the list of nonce word E2s used in Experiment 1. The experiment tested all four  
120 sounds that can undergo rendaku in contemporary Japanese (/t/, /k/, /s/ and /h/) with 6 nonce  
121 items in each cell. These resulted in a total of 72 stimuli (3 conditions × 4 consonant types × 6  
122 items). The stimuli for the first two conditions were adapted from Kawahara & Kumagai (2023a).

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<sup>5</sup><https://osf.io/ym79p/>. We fully acknowledge that adapting the open science policy is not panacea for the general replication crisis problem, but also note that it is nevertheless a necessary and useful first step that we can take toward addressing the problem.

123 None of the stimuli becomes a real word after rendaku. The syllable structure of the stimuli  
 124 was controlled in that none of the stimuli contained a heavy syllable. Since the applicability  
 125 of rendaku may be reduced when it results in identical CV mora sequences (Kawahara & Sano  
 126 2014a,b), in no forms would rendaku result in CV moras that are identical to those in the second  
 127 syllables or to those in third syllables. Since we chose to use [nise] ‘fake’ as E1 (see below), we  
 128 avoided stimuli that begin with [se] as well.

Table 1: The list of nonce words used as E2s in Experiment 1. /h/ allophonically becomes [ç] before [i] and [ϕ] before [u].

	No violation	Local violation	Non-local violation
/t/	[tamuma] [tatsuka] [taruna] [tonime] [tekeha] [tokeho]	[taguta] [tozumi] [tegura] [tazanu] [tegesa] [toboϕu]	[tatsuga] [tesago] [tekibi] [takuga] [tekozi] [teçigi]
/k/	[kimane] [kikake] [kotona] [kumise] [konihe] [keharo]	[kidaku] [kobono] [kabomo] [kedere] [kuziha] [kozana]	[kitebe] [kotiba] [kaçido] [kutçibo] [kesodo] [katsuba]
/s/	[samaro] [sokato] [sutane] [samohe] [sorise] [sateme]	[sabare] [sogeha] [sobumo] [sadanu] [sodoka] [sudaϕu]	[sokabo] [sohogi] [sukabi] [suhode] [satage] [sokebi]
/h/	[honara] [çinumi] [honiko] [hakisa] [heraho] [çihonu]	[hobasa] [hazuke] [hogore] [çigiro] [ϕuzumo] [hedeno]	[hokida] [hekazu] [hetado] [hategi] [çisuda] [ϕuhode]

### 129 2.1.3 Participants

130 The experiment was conducted online using SurveyMonkey (<https://jp.surveymonkey.com>).  
 131 The participants were collected using a snowball-sampling method, primarily X (formerly  
 132 Twitter), advertised on the first author’s account. As a result, 162 speakers, who were native

133 speakers of Japanese and had not heard about rendaku or Lyman’s Law, voluntarily completed  
134 the online experiment. The numbers of speakers for each age group, provided by SurveyMoneky,  
135 were as follows: 29 (18-19 years old), 52 (20-29 years old), 38 (30-39 years old), 25 (40-49 years  
136 old), 14 (50-59 years old) and 4 (above 60 years old). In addition, the data from 39 additional  
137 participants were collected from Keio University, who earned an extra credit for completing the  
138 experiment<sup>6</sup>—from this pool of data, however, we had to exclude the data from 17 students, be-  
139 cause they were either a non-native speaker of Japanese or were already familiar with rendaku.

140 Two speakers chose the no-rendaku response for all questions, whereas one speaker chose the  
141 yes-rendaku response for all questions; one participant chose only one yes-rendaku response. The  
142 data from these participants were also excluded, as it is likely that they were not paying serious  
143 attention to the task. As a result, the data from a total of 180 participants were considered in the  
144 following statistical analyses.

#### 145 2.1.4 Procedure

146 In the instructions, the participants were told that when they combine two words to create a  
147 compound in Japanese, some combinations undergo voicing (i.e. rendaku); the example given was  
148 /kaki/ ‘persimmon’ becoming [gaki], when it is combined with [ɕibu] ‘bitter’. It was explained  
149 to the participant that combining two words can result in a *dakuten* diacritic—which represents  
150 obstruent voicing in the Japanese orthography—at the beginning of the second element.

151 In the main session, the participants were presented with one stimulus item and were asked  
152 to combine it with [nise] ‘fake’ as E1 to make a compound. They were then asked whether the  
153 resulting compound would sound more natural with initial voicing (i.e. rendaku) or without initial  
154 voicing.

155 The stimuli were written in the *hiragana* orthography, which signals the presence of rendaku  
156 with a diacritic mark that generally represents obstruent voicing in the Japanese orthography. We  
157 used the *hiragana* orthography, because rendaku applies primarily to native words, and *hiragana*  
158 is used to write native words in the Japanese orthographic convention. While the stimuli were  
159 presented in orthography, the participants were asked to read and pronounce each option, before  
160 they answer each question. The stimuli in the main session were presented to the participants as  
161 obsolete native words that used to exist in Japanese, so that the participants would treat them as  
162 native words (see Vance 1979 and Zuraw 2000 for previous studies which used this method). A  
163 sample question is thus, “given an obsolete word [sarita], when it is combined with [nise], which  
164 form sounds more natural, [nise-sarita] or [nise-zarita]?”

165 Each participant was assigned a uniquely randomized order of stimuli, using the random-  
166 ization function of SurveyMonkey. Prior to the main session, the participants went through

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<sup>6</sup>We did not obtain the information about their age, but they were all most likely in their early twenties.

167 a practice question with the [nise-sarita] vs. [nise-zarita] example so that they can familiarize  
168 themselves with the task. Since there are no right/wrong answers, no feedback was given.

### 169 2.1.5 Statistical analyses

170 For statistical analyses, we fit a Bayesian mixed effects logistic regression model, using the brms  
171 package (Bürkner 2017) and R (R Development Core Team 1993–) (for accessible introduction to  
172 Bayesian modeling, see e.g. Franke & Roettger 2019; Kruschke 2014; Kruschke & Liddell 2018;  
173 McElreath 2020; Vasishth et al. 2018). Bayesian analyses take both prior distribution (if any) and  
174 the obtained data into consideration and produce a range of possible values (=posterior distribu-  
175 tions) for each parameter that we would like to estimate. One advantage of Bayesian analyses is  
176 that we can interpret these posterior distributions as directly reflecting the likely values of these  
177 estimates, unlike the 95% confidence intervals that we obtain in a frequentist analysis. Another  
178 advantage is that it would allow us to examine with how much confidence we can believe in a  
179 null effect (Gallistel 2009). Since Kawahara (2012) obtained a “statistically non-significant”,  
180 this was an important advantage of using Bayesian analyses for the current experiment.

181 One heuristic to interpret the results of Bayesian regression models is to examine the mid-  
182 dle 95% of the posterior distribution, known as 95% Credible Interval (henceforth, 95% CrI), of an  
183 estimate parameter. If that interval does not include 0, we can interpret that effect to be meaning-  
184 ful/credible. However, with Bayesian analyses, we do not need to commit ourselves to a “mean-  
185 ingful” vs. “non-meaningful” dichotomy, as in a frequentist “significant” vs. “non-significant”  
186 dichotomy. To be more concrete, another way to interpret the results of Bayesian regression  
187 models is to calculate how many posterior samples of a particular coefficient are in an expected  
188 direction. In what follows we present both ways of interpretation.

189 The details of the model specifications in the current model were as follows. The dependent  
190 variable was whether each item was judged to undergo rendaku or not (rendaku-undergoing  
191 response = 1 and non-rendaku-undergoing response = 0). For independent variables, one main  
192 fixed factor was three conditions regarding Lyman’s Law (no violation vs. local violation vs. non-  
193 local violation). The reference level of this factor was set to be the local violation condition, so that  
194 we can compare (i) the difference between no-violation and local violation (i.e. the psychological  
195 reality of Lyman’s Law) and (ii) the local violation and the non-local violation (i.e. the locality  
196 of Lyman’s Law). Another fixed factor was sound type (i.e. /t-/k-/s-/h/). For this factor, the  
197 baseline was arbitrarily set to be /h/, because we had no particular a priori reason to choose  
198 one segment over the others. The interaction term between the two factors was also coded,  
199 because we wanted to see whether the effects of Lyman’s Law, if any, would generalize to all four  
200 segments. The model also included a random intercept of items and participants in addition to  
201 random slopes of participants for both of the fixed factors and their interaction term.



202 For prior specifications, we used a Normal(0, 1) weakly informative prior for the intercept  
203 (Lemoine 2019) and a Cauchy prior with scale of 2.5 for all slope coefficients (Gelman et al. 2018).  
204 We run four chains with 4,000 iterations and disregarded the first 1,000 iterations as warmups,  
205 as running only 2,000 iterations resulted in inappropriate effective sample size (ESS) values. As a  
206 result, all the  $\hat{R}$ -values for the fixed effects were 1.00 and no divergent transitions were detected,  
207 i.e. the four chains mixed successfully. Complete details of this analysis are available in the R  
208 markdown file available at the OSF repository mentioned above.

## 209 **2.2 Results: Experiment 1**

### 210 **2.2.1 General results**

211 Figure 1 shows the rendaku application rate for each condition in the form of violin plots, in which  
212 their widths represent normalized probability distributions. Each facet shows a different segment  
213 type. Within each facet, each violin shows the three critical conditions. Transparent circles,  
214 jittered slightly to avoid overlap, represent averaged responses from each participant within each  
215 violin. Solid red circles are the averages in each condition. Abstracting away from the differences  
216 among the four segments, the three conditions resulted in the following rendaku application: (1)  
217 no Lyman’s Law violation = 60.8% (2) local Lyman’s Law violation = 32.4% (3) non-local Lyman’s  
218 Law violation = 41.6%.<sup>7</sup> The markdown file available at the OSF repository provide segment-  
219 specific average values.

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<sup>7</sup>One may wonder why we did not obtain (near-)100% rendaku application responses for the no Lyman’s Law violation condition. This result is actually expected, as the application of rendaku is affected by various factors (e.g. Kawahara 2015a; Rosen 2003, 2016; Vance 2014, 2016, 2022). For instance, rendaku is limited to apply mainly to native words and some Sino-Japanese words, but it does not apply to recent loanwords or mimetic words (Vance 2022). Moreover, for some lexical items, the application of rendaku is optional; e.g. both [sori+çita] and [sori+zita] ‘retroflex’ are possible forms. Finally, lexical items like [kasu] ‘dregs’ and [tsuju] ‘dew’ never undergo rendaku, despite the fact that there are no linguistic factors that would prevent them from undergoing rendaku.

Having said these, however, we also have some reasons to consider rendaku to be a (semi-productive) grammatical process (Kawahara 2015a). Rendaku, for instance, is blocked by a phonological restriction such as OCP(labial), a constraint that prohibits two labial constraints in the adjacent syllables; i.e. forms that begin with /h...m/ barely undergo rendaku, since it would result in two adjacent labial consonants ([b...m]) (Kawahara et al. 2006). Rendaku, as shown in this and many previous experiments, also interacts with OCP(+voice). These observations suggest that rendaku interacts with cross-linguistically motivated phonological constraints, which implies that rendaku too is at least in part phonological in nature.

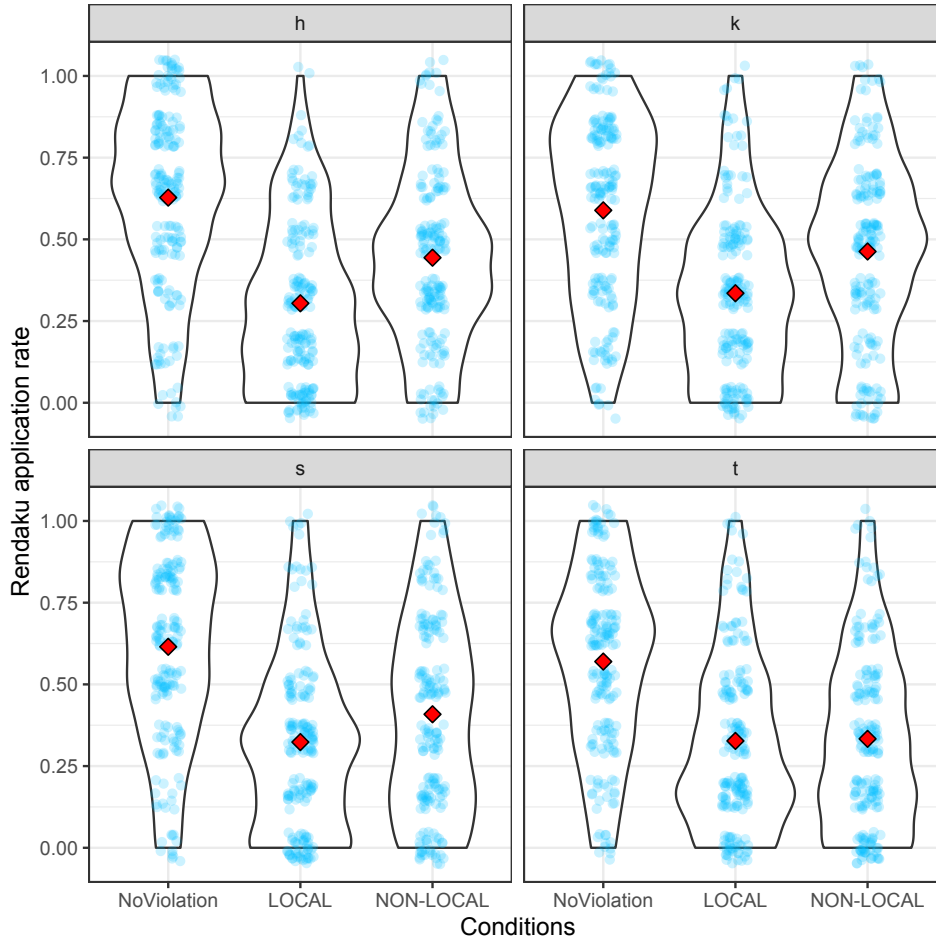


Figure 1: The comparison between the three critical conditions, with each facet showing a different segment type. Transparent circles, which represent averaged responses from each participant, are jittered slightly to avoid overlap. The red triangles show the averages within each violion.

220 We observe that the first condition (no violations of Lyman’s Law) showed higher rendaku re-  
 221 sponses compared to the second condition (the local violation of Lyman’s Law), providing support  
 222 for the psychological reality of Lyman’s Law, which was shown by a number of previous stud-  
 223 ies (Ihara et al. 2009; Kawahara 2012; Kawahara & Sano 2014a,b; Kawahara & Kumagai 2023a,b;  
 224 Vance 1979).

225 More interestingly, the second condition (the local violation of Lyman’s Law) generally showed  
 226 lower rendaku responses than the third condition (the non-local violation of Lyman’s Law), al-  
 227 though this difference is very small in the /t/-facet. Overall, then, the current results appear to  
 228 support that of Vance (1979), not that of Kawahara (2012)—Lyman’s Law does seem to exhibit a  
 229 locality effect in nonce words, at least for /h/, /k/ and /s/.

230 The model summary of the Bayesian mixed effects logistic regression analysis is provided in  
 231 Table 2. The intercept is negative, as it represents the baseline condition (/h/, local violation),

232 whose average response is lower than 50%. As for the sound type (=the coefficients in (b)), for  
 233 which /h/ serves as the baseline, all of the relevant 95% CrIs for the coefficients include 0, sug-  
 234 gesting that differences among the four segment types were not very meaningful. The interaction  
 235 terms in (d)—interactions between the segment type and the difference between the no-violation  
 236 and the local violation—were also not very credible, suggesting that the local version of Lyman’s  
 237 Law functions to a comparable degree across the four segments, although for /k/ and /t/, they are  
 238 leaning toward the negative, i.e., the effects of local Lyman’s Law tend to be smaller. The main  
 239 effect of the difference between the no-violation and the local violation ((c), the top) was very  
 240 credible, supporting the psychological reality of Lyman’s Law.

Table 2: Summary of the Bayesian mixed effects logistic regression model (Experiment 1).

		$\beta$	error	95% CrI
(a) intercept	(/h/, local)	-0.97	0.17	[-1.31, -0.62]
(b) sound type	/k/	0.13	0.23	[-0.31, 0.57]
	/s/	0.04	0.23	[-0.40, 0.48]
	/t/	0.08	0.23	[-0.38, 0.52]
(c) condition	no-violation vs. local	1.64	0.24	[1.18, 2.11]
	local vs. non-local	0.69	0.23	[0.24, 1.15]
(d) interactions I	/k/:no-violation vs. local	-0.34	0.32	[-0.96, 0.29]
	/s/:no-violation vs. local	-0.07	0.31	[-0.69, 0.54]
	/t/:no-violation vs. local	-0.38	0.32	[-1.00, 0.24]
(e) interactions II	/k/:local vs. non-local	-0.04	0.31	[-0.65, 0.57]
	/s/:local vs. non-local	-0.24	0.32	[-0.87, 0.38]
	/t/:local vs. non-local	-0.69	0.32	[-1.31, -0.07]

241 Most interestingly for the case at hand, the main effect of the difference between the local  
 242 violation and non-local violation ((c), the bottom) was also credible, at least at the baseline level  
 243 /h/. However, the interaction term between the locality effect and /t/ was also credible, sug-  
 244 gesting that we should look at the locality effect of Lyman’s Law for each segment. We thus  
 245 calculated how many samples of the locality effect were in the expected direction in the poste-  
 246 rior distributions— $p(\beta > 0)$ —for each segment type, which represent how likely the non-local  
 247 Lyman’s Law condition induced higher rendaku responses than the local Lyman’s Law condition.

248 The results show that  $p(\beta > 0)$  is 0.503 for /t/, 0.996 for /k/, 0.970 for /s/ and 0.998 for /h/. We  
 249 thus conclude that Lyman’s Law is sensitive to a locality effect for all segments but /t/. Statisti-  
 250 cally speaking, in short, the current results appear to accord better with Vance (1979), than with  
 251 Kawahara (2012), for /k/, /s/ and /h/.

252 For the sake of completeness, we also calculated  $p(\beta > 0)$  for the difference between the  
 253 no-violation condition and the local violation condition. The results show that it is 1 for all

254 segments—i.e. the effects of Lyman’s Law is undoubtedly present for all segment types.

### 255 2.2.2 By speaker analysis

256 One question that arises regarding the current results, given the variability observed in Figure  
257 1—and also given that Kawahara (2012) failed to find such an effect—is inter-speaker differences.<sup>8</sup>  
258 Among the speakers who participated in the current experiment, how general does the locality  
259 effect hold? With this question in mind, Figure 2 plots, for each participant, the average rendaku  
260 application rate for the local violation condition and the non-local violation condition. Those dots  
261 above the diagonal axis are those speakers who are sensitive to a locality effect in the expected  
262 direction, and there were many of them. However, there are a number of participants who are  
263 around the diagonal axis, who are not sensitive to the locality effect. And rather surprisingly,  
264 there were also those who are below the diagonal axis, who represent an “anti-locality” effect.  
265 Nevertheless, there are many more speakers who showed an expected locality effect than those  
266 who showed an anti-locality effect (113 vs. 51; 16 had the equal number of yes-rendaku responses  
267 between the two conditions).

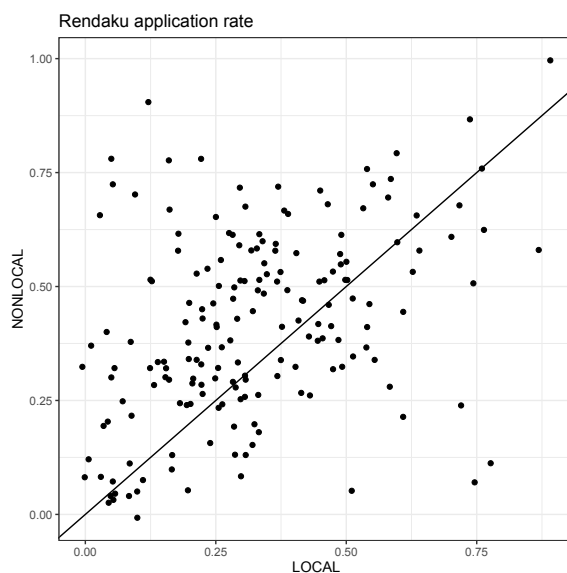


Figure 2: The comparison between the local violation condition and the non-local violation condition by each speaker (Experiment 1).

268 Given that Vance (1979) found eight out of the fourteen speakers showed the locality effect  
269 in the expected direction, and that one speaker showed a clear reversal (44% vs. 14%), the current

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<sup>8</sup>Our experiment is not the first one to have found inter-speaker variability. In fact, finding inter-speaker differences is the norm, rather than an anomaly, in rendaku-related experiments (Kawahara 2012; Kawahara & Sano 2014a; Kawahara & Kumagai 2023a,b; Vance 1979, 1980).

270 results may be comparable to that of Vance (1979) and thus may not be too surprising. In this  
271 sense too, we replicated the results by Vance (1979) with a much larger number of participants.

## 272 **2.3 Discussion: Experiment 1**

273 The first and foremost important finding of the current study is to have shown that Lyman’s  
274 Law is, at least for many speakers, indeed sensitive to a locality effect, *a la* Vance (1979), for the  
275 three segments other than /t/. This is an interesting result especially because, as discussed in the  
276 introduction, evidence from the Japanese lexicon does not distinguish the local violation from  
277 the non-local violation.

278 The current finding thus may instantiate a case of the emergence of the unmarked (TETU:  
279 McCarthy & Prince 1994) in an experimental setting. More broadly speaking, the current re-  
280 sult shows that there may be an aspect of phonological knowledge of Japanese which cannot  
281 be learned from the lexical patterns of rendaku and Lyman’s Law alone (see Berent 2013, Coet-  
282 zee 2009, Gallagher 2013, 2016, Shinohara 1997, Wilson 2006 and Zuraw 2007 for similar results,  
283 in which the difference between two grammatical conditions emerges only in experimental set-  
284 tings). This result supports the role of abstract grammatical knowledge which somehow imposes  
285 a locality effect on Lyman’s Law, although we admit that it is puzzling that some speakers exhibit  
286 such an “anti-grammatical effect.”<sup>9</sup>

287 We note, however, the preceding argument rests on the assumption that learners use only  
288 rendaku-related evidence to learn the grammatical status of Lyman’s Law. It may be possible,  
289 however, that the local nature of Lyman’s Law can be learned from somewhere else; for instance,  
290 there may be more loanwords which incur a local violation of Lyman’s Law (e.g. [**bagu**] ‘bug’)  
291 than those that incur a non-local violation of Lyman’s Law (e.g. [**daijamondo**] ‘diamond’). An  
292 anonymous reviewer also pointed out that even among the existing native words, there may not  
293 be a lot of words that support the non-local effect of Lyman’s Law. In addition to the examples  
294 we provided in (3), there are [hitsuzi] ‘sheep’, [kurage] ‘jelly fish’ and [kotoba] ‘words’, none  
295 of which undergo rendaku, but there may not be many others. To the extent that phonotactic  
296 restrictions that are supported by more lexical items are more robustly represented in speakers’  
297 grammar, the current results may be attributed to this lexical tendency. While we are open to

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<sup>9</sup>Here is an admittedly post-hoc explanation of how such anti-locality pattern may have arisen in the current experiment. An anonymous reviewer pointed out that in the non-local condition, when the stimuli undergo rendaku, the first two syllables can resemble the beginning of existing (Sino-Japanese) compounds; for example, the nonce stimulus [tatsuga], when it becomes [datsuga], may have sounded similar to existing compounds like [datsugoku] ‘prison break’, [datsu-bou] ‘hats off’, [datsu-zoku] ‘unworldliness’, etc. On the other hand, rendaku in the local-condition does not result in resemblance with existing native or Sino-Japanese words, as there are no words containing two voiced obstruents in adjacent syllables. Thus, those participants who showed an anti-locality effect may have chosen options that sound similar to existing Sino-Japanese compounds. While we find this possibility to be an interesting one, examining this post-hoc speculation in a full detail needs to be executed in a separate study.

298 these alternative possibilities, the importance of the current findings remains robust, we believe,  
299 whatever the source of the locality effect is.

300 Some more questions arise from the current results, not all of which we can answer in this  
301 paper. First, we have no good explanation regarding why /t/ behaves differently from /k/, /s/ and  
302 /h/. As far as we know, there is nothing that is special about /t/—or [d]—in Japanese, rendaku-  
303 related or otherwise, that would make it exceptional to the locality effect of Lyman’s Law. Recall  
304 that there is very little evidence for the local nature of Lyman’s Law in the Japanese lexicon after  
305 all. Second, we are unable to offer a good explanation for why there is a non-trivial degree of  
306 interspeaker variability, as in Figure 2; neither are we able to offer a solid explanation regarding  
307 why there are speakers who show the “anti-locality” effect (though see footnote 9 for a post-hoc  
308 speculative hypothesis).

309 Finally, a new question arises regarding why Kawahara (2012) failed to find a difference be-  
310 tween the local condition and the non-local condition. We find the last question to be the most  
311 important one to address, partly because it led Vance to consider his old results to an artifact  
312 of uncontrolled factors (Vance 2022: §7.2.2.). Therefore, in the next experiment we attempted to  
313 address this last question.

## 314 **3 Experiment 2**

315 We can consider two possibilities regarding why Kawahara (2012) failed to find a locality effect:  
316 (1) a naturalness judgment experiment, for some reason or another, was not a good task to reveal  
317 that effect or (2) the experiment by Kawahara (2012) lacked a sufficient statistical power, i.e., the  
318  $N$  was too small. Recall that there were only three items for each segment-condition combination.  
319 While 54 participants may not be a very small number of speakers for a linguistic experiment, it  
320 may nevertheless have been insufficient. To tease apart these two possibilities, we attempted to  
321 replicate Kawahara (2012) with a larger number of speakers.

### 322 **3.1 Methods**

323 Since we used up a pool of participants who can take a rendaku-related experiment (recall that  
324 we needed participants who are not familiar with either rendaku or Lyman’s Law), we resorted  
325 to the Buy Response function offered by SurveyMonkey, the limitation of which is that we can  
326 include only up to 50 questions. Therefore, we limited ourselves to two segments /k/ and /s/, one  
327 plosive and one fricative, both of which showed a clear locality effect in Experiment 1.

328 The methodological details of Experiment 2 were similar to those of Experiment 1, except  
329 for a few differences. First, Experiment 2 was a naturalness judgment experiment, in which the  
330 participants were asked to rate the naturalness of rendaku-undergoing forms using a 5-point

331 Likert scale, where 5 was labeled as ‘very natural’ and 1 was labeled as ‘very unnatural’ (the  
332 other points on the scale were not labelled). For statistical analyses, we used a Bayesian *ordinal*  
333 logical regression with the same random factor structure as Experiment 1. The baseline for the  
334 segmental difference was arbitrarily chosen as /k/. Again the R markdown file available at the  
335 OSF repository shows complete details of the analysis.

336 A total of 187 native speakers of Japanese participated in this study. Among those, 15 speakers  
337 used the same rating for all responses, indicating that they were not paying serious attention to  
338 the task. Their data were excluded from further analyses. This left us with the following numbers  
339 of speakers in each age-group: 3 (18-19 years old), 20 (20-29 years old), 27 (30-39 years old), 37  
340 (40-49 years old), 60 (50-59 years old) and 25 (above 65 years old).

## 341 **3.2 Results: Experiment 2**

342 Figure 3 shows the distribution of naturalness ratings for the three conditions, with the two facets  
343 showing the two segment types. We observe that the first condition with no violations of Lyman’s  
344 Law was generally rated as most natural. The forms with a local violation of Lyman’s Law were  
345 rated as least natural and those with the non-local violation were rated as intermediate. The grand  
346 averages in each of the three conditions were as follows: no Lyman’s Law violation = 3.09, local  
347 Lyman’s Law violation = 2.73 and non-local Lyman’s Law violation = 2.86.

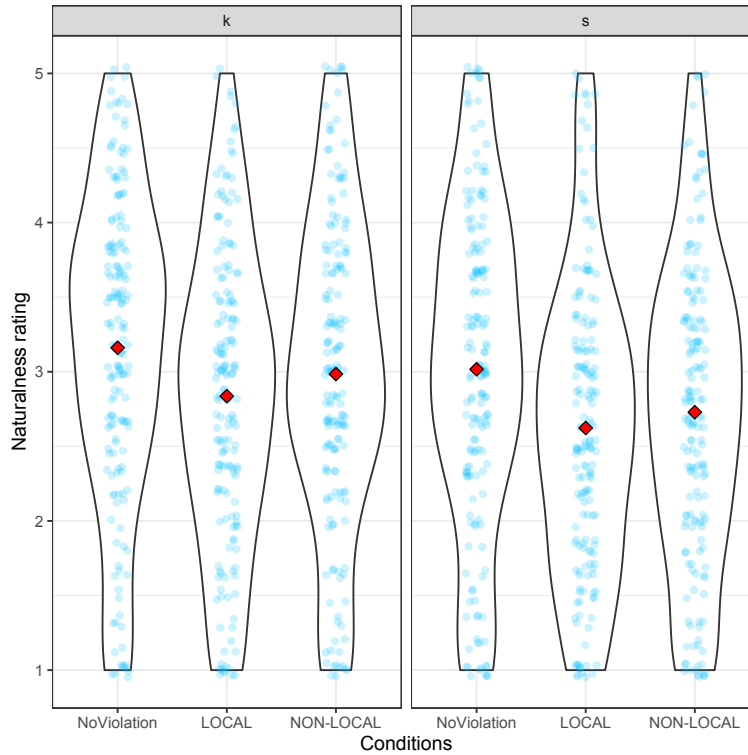


Figure 3: The comparison between the three critical conditions in naturalness ratings (Experiment 2).

348 The model summary of the results in Experiment 2 appears in Table 3. The 95% CrI for the  
 349 segmental difference (coefficient (b)) does not include zero, and is highly skewed toward negative  
 350 values, suggesting that [z]-initial forms were rated less natural than [g]-initial items. The 95%  
 351 CrI for the difference between the no-violation and the local violation (coefficient (c), the top)  
 352 does not include 0, suggesting the robustness of the effects of (local) Lyman's Law. In terms of  
 353 the posterior probabilities of the coefficients being positive, the effects of the Lyman's Law were  
 354 clear for both segments: for /k/,  $(p(\beta > 0) = 0.998$  and for /s/ as well,  $(p(\beta > 0) = 0.999$ . These  
 355 results are compatible with the results of Kawahara (2012).



Table 3: Summary of the Bayesian mixed effects ordinal logistic regression model (Experiment 2).

		$\beta$	error	95% CrI
(a) (baseline = /k/, local)				
	intercept[1]	-2.54	0.24	[-3.01, -2.07]
	intercept[2]	-0.58	0.24	[-1.04, -0.12]
	intercept[3]	1.31	0.24	[0.84, 1.77]
	intercept[4]	3.49	0.24	[3.02, 3.96]
(b) segment				
		-0.48	0.24	[-0.96, -0.01]
(c) condition				
	no-violation vs. local	0.81	0.26	[0.30, 1.32]
	local vs. non-local	0.36	0.25	[-0.12, 0.84]
(d) interactions				
	seg:no-violation vs. local	0.13	0.34	[-0.54, 0.80]
	seg:local vs. non-local	-0.13	0.34	[-0.81, 0.54]

356 The 95% CrI for the difference between the local and non-local violation conditions (coefficient  
357 (c), the bottom) include 0, but it is skewed toward positive values, suggesting that the non-local  
358 violation condition tended to induce more natural responses than local responses. In terms of the  
359 probabilities of the  $\beta$ -coefficients being in the expected direction in the posterior distributions,  
360 the difference between the local violation and non-local violation at the baseline level (= /k/) was  
361  $p(\beta > 0) = 0.926$ . The locality comparison at the level of /s/ was  $p(\beta > 0) = 0.820$ . Thus,  
362 we are at least 82% positive that the local and non-local violation conditions induced different  
363 naturalness ratings. These results are not as robust as those found in Experiment 1, but we find  
364 the converging results between the two experiments to be encouraging.

365 Figure 4 shows the by-speaker analysis of the results in Experiment 2. Those dots above the  
366 diagonal axis represent speakers who show a locality effect, whereas those who are below the  
367 diagonal line are speakers who show an anti-locality effect. As with Experiment 1, we do observe  
368 that both types of speakers exist, but more speakers show a locality effect than an anti-locality  
369 effect, hence the overall results in Figure 3 (93 vs. 57 speakers; 22 speakers showed the same  
370 average rating between the two conditions).

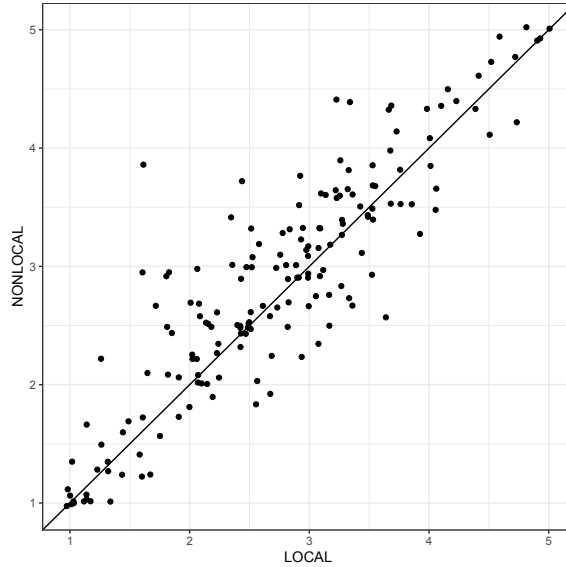


Figure 4: The comparison between the local violation condition and the non-local violation condition by each speaker (Experiment 2).

### 3.3 Discussion: Experiment 2

We thus observe at least modest evidence (i.e. 82%–93% confidence) that the local violation of Lyman’s Law and the non-local violation induce different naturalness ratings—i.e. local violation tend to be judged to be less natural, contrary to the conclusion drawn by Kawahara (2012). We note, however, that Kawahara (2012) did observe a trend in the expected direction and that the sizes of differences were not too radically different between Kawahara (2012) and the current experiment (2.76 vs. 2.86 = 0.10 in Kawahara 2012 and 2.73 vs. 2.86 = 0.13 in the current experiment). We also note that if we were using a frequentist analysis and were stuck with a “ $p < .05$ ” threshold, then the current results may have turned out to be “non-significant.” The use of Bayesian analyses allowed us to see how confident we can be about the difference between the local condition and the non-local condition, without being bound to the “significant vs. non-significant” dichotomy.

Having said these, it is also true that the results are less clear-cut in Experiment 2 than in Experiment 1, which suggests that naturalness rating experiments using a Likert scale may not be an optimal method to reveal the locality effect of Lyman’s Law. One reason may be that the participants were presented only with one form (i.e. rendaku-undergoing form), whereas in Experiment 1, the participants were asked to compare rendaku-undergoing forms and non-rendaku-undergoing forms (see Daland et al. 2011; Kawahara 2015b; Sprouse & Almeida 2017 for related observations, especially in terms of how these two experimental paradigms can differ). Another reason may be that some participants may have had difficulty in interpreting what “naturalness”

391 really means, especially when they are given nonce words.

392 While we fully acknowledge that it is not desirable to rerun a statistical test after the results  
393 are known and interpreted (Kerr 1998), having seen the results of Experiment 2 prompted us to  
394 see what would happen if we run a Bayesian analysis to the data obtained by Kawahara (2012).  
395 Explicitly bearing in mind that this is a post-hoc reanalysis, whose results should be interpreted  
396 with much caution, we ran a Bayesian analysis that is similar to the one that was used for our  
397 Experiment 2. However, since there were only three items for each segment-condition combi-  
398 nation, we dropped the segmental difference as a fixed factor from the model, as a three-level  
399 random factor is inappropriate (Snijders & Bosker 2011). There is an R markdown file available  
400 on the OSF repository which shows the complete details of this reanalysis.

401 The result of the reanalysis shows that for the difference between the local violation condition  
402 and the non-local condition violation,  $p(\beta > 0) = 0.94$  even for this old dataset. While this model  
403 is incomplete in that we had to drop segment type as a factor, the data obtained by Kawahara  
404 (2012) seem to be comparable with what we obtained in Experiment 2. We reiterate, however,  
405 that this is a completely post-hoc conclusion.

406 Finally, we would like to come back to the possibility that we raised at the introduction;  
407 namely, the locality effect was decreasing over time as a part of an on-going sound change  
408 in Japanese (Ihara et al. 2009; Kawahara 2012). To address this hypothesis, Figure 5 plots the  
409 differences in rating between the local condition and the non-local condition in the current  
410 experiment—standing for the degrees of the locality effect—on the y-axis, against the age group  
411 categories provided by SurveyMonkey, in which higher values represent older speakers. If the  
412 hypothesis was true, older speakers should show larger differences. As we can observe in the  
413 figure, however, there are no substantial correlations between the two measures.

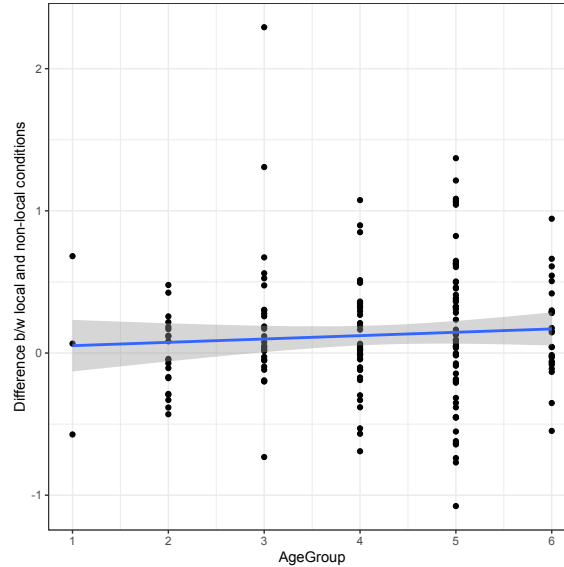


Figure 5: The degree of the locality effect (the differences in rating between the local violation condition and the non-local violation condition) plotted against the age groups.

## 4 Overall discussion

The most important finding of the current experiments, we believe, is empirical: we found that generally speaking, Lyman’s Law shows a locality effect in that its dissimilatory force is stronger when the two voiced obstruents are in adjacent syllables than when they are not, as Vance (1979) showed. This may not be too surprising given that dissimilatory forces tend to function in this manner cross-linguistically (Suzuki 1998). The result, on the other hand, can be taken to be indeed surprising, because the Japanese lexicon does not offer clear evidence for this locality effect of Lyman’s Law. Recall that Vance (2022) himself, who found the effect in 1979, later speculated that his finding was due to some uncontrolled factors.

The current results also offer some lessons for experimental phonology in general. First, the fact that Kawahara (2012) failed to find a “statistically significant” difference suggests that using a frequentist analysis as in Kawahara (2012) may not have been an optimal strategy to identify a linguistic effect (see Chambers 2017; Vasishth & Gelman 2021 for related discussion). Second, a naturalness judgment experiment may be a less reliable tool compared to a forced judgment task—it may be easier for naive participants to choose between two distinct forms than making naturalness judgments of one form in isolation (see Daland et al. 2011; Kawahara 2015b; Sprouse & Almeida 2017). These lessons open up an opportunity for future research: to re-examine the aspects of rendaku that have been studied in previous experimental studies (Kawahara 2016), with a large number of speakers and items, ideally using a Bayesian method.

433 Finally, we would like to close this paper by acknowledging some limitations of the current  
434 experiments. First, we used the *hiragana* orthography to present the stimuli. While this is not  
435 an uncommon practice in the previous experimental studies on rendaku—largely because the  
436 presence of rendaku is clearly signaled in the orthography—and we asked the participants to  
437 read and produce the stimuli before giving their responses, it would be interesting and important  
438 to replicate the current experiments with auditory stimuli (see Vance et al. 2023 for a recent  
439 experimental study on rendaku which used auditory stimuli). Also, in addition to deploying a  
440 forced-choice format, it would also be informative to examine what would happen if we ask the  
441 participants to produce novel compounds themselves. We would like to leave these ideas for  
442 follow-up studies.

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445 author.

## 446 **Conflicts of interest**

447 We declare no conflicts of interest.

## 448 **Availability of data and material**

449 The data are available at <https://osf.io/ym79p/>.

## 450 **Code availability (software application or custom code)**

451 The code is also available at <https://osf.io/ym79p/>.

## 452 **Authors' contributions**

453 Both authors contributed to the conception and execution of the experiments. The first author  
454 wrote the first version of the manuscript and the second author revised it. Both authors con-  
455 tributed to the revision of the manuscript. The statistical analysis was primarily conducted by  
456 the first author. The second author checked the details.

## 457 **Ethics approval**

458 The current experiments were conducted with an approval from the authors' institute.

## 459 **Consent to participate**

460 The participants read the written consent form before participating in the experiments.

## 461 **Consent for publication**

462 Both authors approve that the current manuscript be evaluated for publication in the journal.

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