

# Geminate Devoicing in Japanese Loanwords: Theoretical and Experimental Investigations<sup>1</sup>

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## Abstract

This paper provides an overview of theoretical and experimental investigations into voiced geminates in Japanese. Active discussion was initiated by Nishimura's (2003) discovery that in Japanese loanword phonology, voiced geminates can be devoiced, when they co-occur with another voiced obstruent (e.g. /**doggu**/ → /**dokku**/ 'dog'). This context-sensitive devoicing of geminates has been analyzed within several different theoretical frameworks. The phonetic and psycholinguistic natures of voiced geminates have also been explored, in tandem with corpus-based analyses and computational modeling. This devoicing pattern of voiced geminates in Japanese therefore has had substantial impacts on the recent phonological literature. The empirical focus of this paper is on one simple devoicing phenomenon in Japanese, but implications for general linguistic theories are discussed throughout the paper.

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## 1. The Basic Generalizations

### I.1. PROHIBITION AGAINST VOICED GEMINATES IN NATIVE PHONOLOGY

Japanese employs a singleton-geminate contrast to convey lexical differences (e.g., /**kata**/ 'frame' vs. /**katta**/ 'bought'), but not all kinds of geminates (=long consonants) are allowed.<sup>2</sup> In the native phonology of Japanese, neither approximant geminates (/rr, ww, jj/) nor voiced obstruent geminates (/bb, dd, gg, zz/) are allowed (Ito and Mester 1999). It is the phonological behavior of voiced obstruent geminates that this paper focuses on (henceforth, simply 'voiced geminates').

Not only do voiced geminates fail to make lexical contrasts, some evidence from phonological alternations shows that voiced geminates are actively avoided in the native phonology. For example, the adverb-forming suffix /-ri/ causes gemination of root-final consonants, as in (1). However, when the root-final consonant is a voiced obstruent, gemination is blocked, and a nasal is inserted instead, as in (2) (Ito and Mester 1999).<sup>3</sup>

#### (1) Gemination associated with /-ri/

- a. /**uka**+ri/ → /**ukkari**/ 'absent-mindedly'
- b. /**biku**+ri/ → /**bikkuri**/ 'surprised'

#### (2) Gemination does not target voiced obstruents

- a. /**syobo**+ri/ → /**syombori**/ 'disappointed'
- b. /**uza**+ri/ → /**unzari**/ 'sick of something'

For other types of evidence of the avoidance of voiced geminates from phonological alternations, see Ito and Mester (1996) and Nasu (1999).

## 1.2. VOICED GEMINATES IN LOANWORDS

Despite the lack of voiced geminates in the native phonology, voiced geminates do appear in some loanwords. Word-final consonants preceded by a lax vowel are often borrowed as geminates, as shown in (3) (Kubozono 2015 and many references cited therein). This adaptation process created voiced geminates in the loanword sector of the lexicon (Ito and Mester 1999), as in (3c–d).

## (3) Gemination of word-final consonants in loanword adaptation

- a. let → /retto/
- b. pick → /pikku/
- c. red → /reddo/
- d. big → /biggu/

## 1.3. DEVOICING OF VOICED GEMINATES

Although a voicing contrast became contrastive in geminates in loanwords, as in (3), researchers observed that some voiced geminates can optionally be pronounced as devoiced (e.g., Ito and Mester 1999; Vance 1987). One big puzzle, however, was that not all voiced geminates seem to be devoicable. Ito and Mester (1999) proposed to treat devoicable geminates as contained in ‘assimilated foreign items’ and non-devoicable geminates as contained in ‘unassimilated foreign items’. This quasi-etymological distinction, however, was ad hoc and circular, because this distinction was not independently motivated.

In 2003, Nishimura identified a *phonological* condition, which makes devoicing of geminates possible. Concretely, the devoicing of geminates occurs only when there is another voiced obstruent within the same morpheme, as in (4). In other words, devoicing of geminates is caused by a restriction against two voiced obstruents within the same morpheme. This condition can be understood as a version of a well-known general phonological constraint, Obligatory Contour Principle (OCP) (McCarthy 1986). This restriction against two voiced obstruents – OCP(voice) – had also been known as Lyman’s Law in the native phonology of Japanese (Ito and Mester 1986).

## (4) Optional devoicing of OCP-violating geminates: /d...dd/ → /d...tt/

- a. /baddo/ → /batto/ ‘bad’
- b. /bagger/ → /bakku/ ‘bag’
- c. /doggu/ → /dokku/ ‘dog’

Nishimura (2003) contrasted OCP-violating geminates in (4) with non-OCP-violating geminates as in (5), and OCP-violating singletons as in (6). For these, devoicing seems impossible.

## (5) Non-OCP-violating geminates: /...dd/ → \*/...tt/

- a. /sunobbu/ → \*/sunoppu/ ‘snob’
- b. /reddo/ → \*/retto/ ‘red’
- c. /eggu/ → \*/ekku/ ‘egg’

## (6) OCP-violating singletons: /d...d/ → \*/d...t/

- a. /gibu/ → \*/gipu/ ‘give’
- b. /bager/ → \*/baku/ ‘bug’
- c. /dager/ → \*/daku/ ‘Doug’

The patterns illustrated in (4)–(6) initiated extensive theoretical debate, which is reviewed in Section 2.

Before moving on, one remark is in order: we need to distinguish between loanword adaptation (= (3)) and loanword phonology (= (4)). The former refers to the phase in which Japanese speakers borrow these words from the source languages; the latter references what happens to these words after the adaptation. This distinction, which is sometimes neglected in the theoretical literature, is important, because Kaneko and Iverson (2009) showed that voiced geminates are not necessarily borrowed as voiceless in the presence of another voiced obstruent. Thus, the devoicing of geminates in (4) occurs in loanword phonology rather than in loanword adaptation.

## 2. Phonological Analyses of Geminate Devoicing

This section provides a critical overview of different theoretical analyses of the data set in (4)–(6) in a chronological order. As stated above, devoicing of OCP-violating geminates is optional, but the following analysis abstracts away from this optionality. See Section 6 for more on the optionality.

### 2.1. A LOCAL CONJUNCTION ANALYSIS:

The devoicing pattern instantiates a case of ‘a gang-effect’ in that neither being a geminate nor violating the OCP(voice) alone suffices to cause devoicing; only the simultaneous violation of the two conditions (violating OCP and being a voiced geminate) results in devoicing. This patterning is challenging for Optimality Theory (OT: Prince and Smolensky 2004), since OT, in its standard form, does not predict this sort of effect, because of strict domination: a violation of a constraint that is ranked higher takes priority over any amount of violations of lower ranked constraints.

To illustrate the problem that patterns in (4)–(6) present to OT, let us consider three basic constraints, shown in (7):

- (7) Three constraints posited by Nishimura and subsequent work
- a. FAITH(VOICE): Devoicing is not allowed.
  - b. OCP: A morpheme cannot contain two voiced obstruents (=Lyman’s Law).
  - c. \*VOIOBSGEM: A voiced obstruent geminate is prohibited.

The first faithfulness constraint prohibits devoicing, which is necessary because a voicing contrast is contrastive in Japanese phonology in general. The second constraint, OCP, is theoretical instantiation of Lyman’s Law, which prohibits any morpheme containing two voiced obstruents. The final constraint, \*VOIOBSGEM, captures the prohibition against voiced geminates in the native phonology. Given these constraints, in the loanword phonology, the faithfulness constraint must dominate the two markedness constraints, as the tableaux in (8) and (9) shows.

- (8) FAITH(VOICE)  $\gg$  OCP: no devoicing of singletons

/dagu/ ‘Doug’	FAITH(VOICE)	OCP	*VOIOBSGEM
→ /dagu/		*	
/daku/	*!		

(9) FAITH(VOICE)  $\gg$  \*VOIOBSGEM: no devoicing of voiced geminates

/eggu/ 'egg'	FAITH(VOICE)	OCP	*VOIOBSGEM
→ /eggu/			*
/ekku/	*!		

In other words, since devoicing does not occur either with OCP-violating singletons (= (6)) or non-OCP-violating geminates (= (5)), FAITH(VOICE) must be ranked at the top. However, this top-ranking of the faithfulness constraint blocks the devoicing of geminates, even when the geminates violate OCP as well, because of strict domination, as illustrated in (10).

(10) The top ranking of FAITH(VOICE) prevents devoicing of geminates.

/doggu/ 'dog'	FAITH(VOICE)	OCP	*VOIOBSGEM
→ /doggu/		*	*
/dokku/	*!		

To solve this problem, Nishimura (2003) proposed to deploy the mechanism of local conjunction (Smolensky 1993 *et seq.*). A locally-conjoined constraint consists of two sub-constraints and is violated if and only if both of these sub-constraints are violated. By conjoining OCP and \*VOIOBSGEM within the domain of stem (= {OCP & \*VOIOBSGEM}<sub>stem</sub>), and ranking the conjoined constraint above FAITH(VOICE), Nishimura (2003) obtained the right outcome, as in (11).<sup>4</sup>

(11) Gang-effect: The function of {OCP & \*VOIOBSGEM}<sub>stem</sub>

/doggu/ 'dog'	{OCP & *VOIOBSGEM} <sub>stem</sub>	FAITH(VOICE)	OCP	*VOIOBSGEM
/doggu/	*!		*	*
→ /dokku/		*		

2.2. A SPLIT-FAITHFULNESS ANALYSIS:

Kawahara (2006) argued that the local conjunction analysis of Nishimura (2003) is too powerful in the sense that two seemingly irrelevant constraints are conjoined in a domain as large as a stem (McCarthy 2003; Padgett 2002). If we allow local conjunction of two different constraints within a domain of a stem, then we predict the existence of a language that prohibits the co-occurrence of two totally irrelevant structures within a stem (say, a labial consonant and a voiced geminate), which is undesirable.

Instead, Kawahara (2006) proposed that FAITH(VOICE) should be split in such a way that singletons and geminates are subject to different FAITH(VOICE) constraints. Once we posit two faithfulness constraints, we can do away with the local conjunction constraint. In this

analysis, FAITH(VOICE)<sub>sing</sub> is ranked above OCP, which in turn dominates FAITH(VOICE)<sub>gem</sub>. This ranking allows OCP to devoice geminates, but not singletons, as shown in (12)–(13):

(12) FAITH(VOICE)<sub>sing</sub>  $\gg$  OCP: No devoicing of singletons

/bagu/ 'bug'	FAITH(VOICE) <sub>sing</sub>	OCP	FAITH(VOICE) <sub>gem</sub>
→ /bagu/		*	
/baku/	*!		

(13) OCP  $\gg$  FAITH(VOICE)<sub>gem</sub>: Devoicing of geminates

/doggu/ 'dog'	FAITH(VOICE) <sub>sing</sub>	OCP	FAITH(VOICE) <sub>gem</sub>
/doggu/		*!	
→ /dokku/			*

Though ranked below OCP, FAITH(VOICE)<sub>gem</sub> dominates \*VOIOBSGEM to prevent context-free devoicing of geminates, as in (14):

(14) FAITH(VOICE)<sub>gem</sub>  $\gg$  \*VOIOBSGEM: No context-free devoicing of geminates

/eggū/ 'egg'	FAITH(VOICE) <sub>sing</sub>	OCP	FAITH(VOICE) <sub>gem</sub>	*VOIOBSGEM
→ /eggū/				*
/ekku/			*!	

This split-faithfulness approach thus can model the devoicing patterns without resorting to the complex locally-conjoined constraint. Furthermore, as discussed further in Section 3, the splitting of faithfulness constraints can be – and perhaps should be – considered to be grounded in the perceptibility differences of voicing contrasts in singletons and geminates.

### 2.3. AN APPROACH BASED ON THE THEORY OF CONTRAST:

Rice (2006), as a reply to Kawahara (2006), offered a different interpretation of why singletons and geminates behave differently with respect to OCP. As discussed in Section 3, Kawahara (2006) derived the phonological difference between singletons and geminates from their phonetic differences; Rice (2006) suggested that something else is responsible.

Within the framework of the theory of contrast and markedness (see Dresher 2010), Rice (2006) attempted to derive a difference between singletons and geminates from the contrastiveness in the native phonology. A voicing difference is contrastive only in singletons in the native phonology (Ito and Mester 1999); as a result, a [+voice] feature

is projected only for singletons, not for geminates. Since voiced geminates do not have a [+voice] feature, they are more likely to be devoiced than singletons. This analysis thus shares the same spirit with Kawahara (2006) in that they both capitalize on the phonological ‘devoicability’ difference between singletons and geminates – Kawahara (2006) tried to find its root in phonetics; Rice (2006) instead resorted to the contrastiveness in the native phonology.

One problem of this approach, however, is the fact that voicing is contrastive for geminates in the loanword phonology. Therefore, it is necessary for voiced geminates to have a [+voice] feature. Moreover, this approach fails to explain why voiced geminates devoice only in response to OCP, not anywhere else – this approach predicts devoicing everywhere.

2.4. A HARMONIC GRAMMAR ANALYSIS:

Pater (2009) presented a reanalysis of the devoicing phenomenon within the framework of Harmonic Grammar (HG), in which constraints are weighted instead of ranked. HG is similar to OT, but instead of ranked constraints, it uses a set of weighted constraints (Legendre et al. 1990 *et seq.*). Based on the weights assigned for each constraint, a harmonic score of each candidate is calculated as follows:  $H(cand_j) = \sum w_i * c_i(cand_j)$ , where  $w_i$  represents weight assigned to *constraint<sub>i</sub>* and  $c_i(cand_j)$  violation profiles of a particular *candidate<sub>j</sub>* with respect to *constraint<sub>i</sub>*. In short, harmonic scores are the weighted sums of all constraint violations. The candidate with the highest harmonic score wins.

Pater (2009) used only the three basic constraints in (7). In this analysis, FAITH(VOICE) should have a higher weight than OCP and \*VOIOBSGEM; for example, the weight of FAITH(VOICE) can be set to 3, whereas those of OCP and \*VOIOBSGEM can be set to 2 and 2. These weighting relations prevent devoicing of OCP-violating singletons and context-free devoicing of geminates, as in (15)–(16).

(15)  $w(\text{FAITH(VOICE)}) > w(\text{OCP})$ : no devoicing of OCP-violating singletons

/dagu/ ‘Doug’	FAITH(VOICE) (3)	OCP (2)	*VOIOBSGEM (2)	H-Score
→ dagu		-1		-2
daku	-1			-3

(16)  $w(\text{FAITH(VOICE)}) > w(*\text{VOIOBSGEM})$ : no context-free devoicing of geminates

/eggu/ ‘egg’	FAITH(VOICE) (3)	OCP (2)	*VOIOBSGEM (2)	H-Score
→ eggu			-1	-2
ekku	-1			-3

However, as long as the sum of the weight of OCP and that of \*VOIOBSGEM is higher than that of FAITH(VOICE) (e.g.,  $2 + 2 = 4 > 3$ ), devoicing occurs to satisfy both OCP and \*VOIOBSGEM, as shown in (17). A gang-effect occurs because one violation of FAITH(VOICE) simultaneously satisfies the two lower-weighted markedness constraints.

## (17) The gang-effect in HG

/doggu/ 'dog'	FAITH(VOICE) (3)	OCP (2)	*VOI <sub>OB</sub> GEM (2)	H-Score
doggu → dokku	-1	-1	-1	-2+(-2) = -4 -3

This analysis is appealing in that it analyzes the patterns of loanword devoicing using only the three basic constraints in (7), without additional theoretical machineries such as local conjunction or splitting of faithfulness constraints.<sup>5</sup>

## 3. Phonetics of Voiced Gemimates

## 3.1. ACOUSTICS

The split-faithfulness analysis presented by Kawahara (2006) (reviewed in Section 2.2) triggered interests in the phonetics of voiced gemimates. The question raised in that work was why there are different faithfulness constraints for singletons and gemimates. Descriptively speaking, Japanese speakers devoice only gemimates, not singletons. To explain this observation, Kawahara (2006) used the P-Map theory (Steriade 2008), which posits that a phonological change that causes a larger perceptual change is considered to be worse. In this view, for the case of Japanese, speakers neutralize a voicing contrast in gemimates because it is not perceptually salient, whereas devoicing singleton is perceptually too conspicuous, as schematically illustrated in (18).

## (18) The predicted perceptual map

/dd/—>/tt/  
/d/—————>/t/

The specific prediction is thus that devoicing is perceptually less noticeable in gemimates than in singletons. To test this prediction, Kawahara (2006) first conducted an acoustic study, which found that Japanese voiced gemimates are semi-devoiced, as shown in Figure 1. For a singleton [d], glottal vibration continues throughout the closure – the continuation of voicing is observed both on the waveform as well as the voice bar, the low frequency energy observed at the bottom of the spectrogram. On the other hand, voicing in gemimates is ceased at an early phase of closure for a geminate [dd] (shown with an arrow on the spectrogram).

This semi-devoicing of gemimates has a well-known aerodynamic root (Hayes and Steriade 2004; Ohala 1983). In order to maintain the vibration of the glottis, the intraoral airpressure ( $P_o$ ) must be lower than the subglottal airpressure ( $P_s$ ). However,  $P_o$  automatically rises as the air goes into the oral cavity – to put it plainly, speakers cannot keep sending air into the oral cavity when the mouth is closed. As a result, it becomes increasingly hard to maintain voicing during stop closure. Japanese speakers therefore give up on keeping voicing during geminate closure.<sup>6</sup>

The overall results of Kawahara (2006), based on the production of the three speakers, show almost 100% of closure voicing during singleton stops. On the other hand, voiced gemimates show only 40% of closure voicing. Since voicing during closure is an important perceptual cue for voicing (Lisker 1978; Ohala 1981; Raphael 1981), Kawahara (2006) hypothesized that voiced gemimates are perceptually less clearly voiced than voiced singletons.

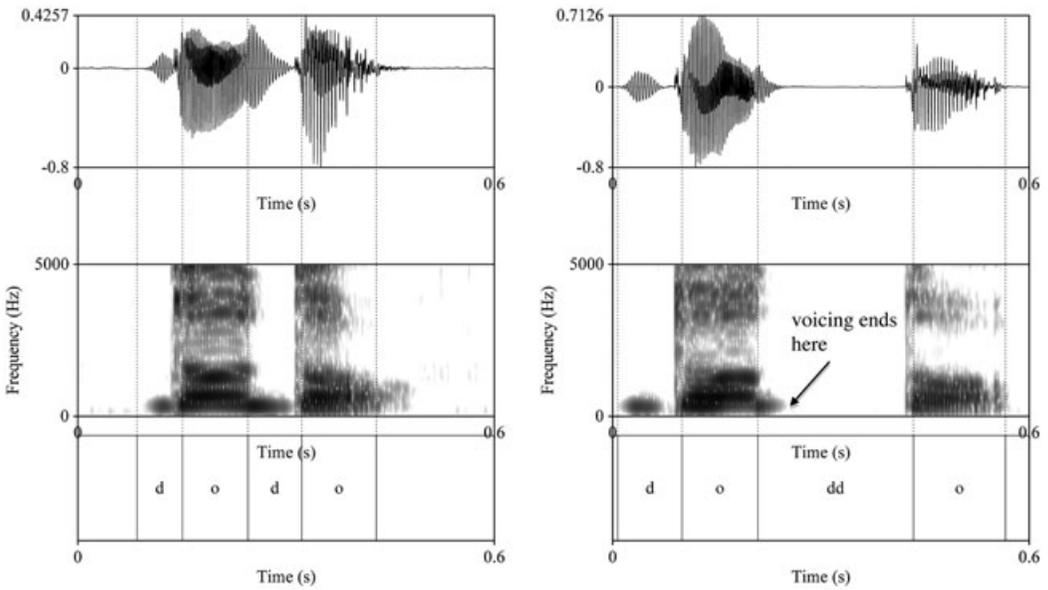


Fig. 1. The left figure shows a singleton [d]; the right figure shows a geminate [dd]. Tokens based on Kawahara (2013). This figure is reproduced with the permission from de Gruyter.

### 3.2. PERCEPTION

Kawahara (2006) also conducted a perception experiment to directly address the prediction by the P-map hypothesis (Steriade 2008). The stimuli were covered by multi-layered cocktail party noise to avoid ceiling effects. Native speakers of Japanese judged the voicing quality of intervocalic consonants. To analyze the results,  $d'$ -values, which represent a perceptual distance for each type of voicing contrast, were calculated (Macmillan and Creelman 2005), one for the singleton pair and one for the geminate pair. The result shows that the average  $d'$ -value is 3.79 for the singleton pair and .71 for the geminate pair. The perception experiment thus shows that a voicing contrast is less perceptible in geminates than in singletons, as predicted by the P-map theory, illustrated in (18).

To summarize, the phonological observation is that a voicing contrast is more likely to neutralize in geminates than in singletons, and perceptually, the contrast is less perceptible in geminates. Taken together, there is a correlation between phonetic perceptibility and phonological devoicability: the smaller the perceptual change that a phonological change causes, the more likely it occurs. This correlation is exactly what the P-map theory predicts. Kawahara (2006) argues therefore that the Japanese OCP-driven devoicing pattern is phonetically natural in the sense that the threshold of devoicability is determined by perceptibility. Overall, this analysis was taken to be evidence that phonology is non-trivially affected by phonetic factors, such as semi-devoicing due to the aerodynamic difficulty of voiced obstruents and the perceptibility of voicing contrasts.

One complication is that, while the devoicability difference between singletons and geminates may be phonetically natural, the cause of devoicing, OCP(voice), may not be phonetically natural (Kawahara 2008). OCP(voice), or more descriptively speaking, dissimilation in voicing, is cross-linguistically rare and always historically arose from dissimilation of other contrasts, such as aspiration or prenasalization (Ohala 1981, 1993). These observations are accounted for under Ohala's theory of dissimilation, in which dissimilation arises from misperception of a

phonological contrast whose phonetic cues are spread out over several segments. Dissimilation in voicing is unexpected – or unnatural – from this perspective, because cues for voicing contrasts are localized, and not spread-out (see Ohala 1981, 1993 and Kawahara 2008 for discussion).

Dissimilation in voicing thus has only arisen historically from dissimilation in other features, prenasalization in the case of Japanese (Vance 2005). To the extent that dissimilation in voicing does not make phonetic sense, it means that the trigger of the devoicing of geminates in Japanese is phonetically unnatural. Based on these arguments, Kawahara (2008) advanced a view that phonetic naturalness and unnaturalness can coexist within a single phonological system, a view that is further explored by Hayes et al. (2009) (see also references cited therein).

#### 4. Psycholinguistics: Judgment Experiments

All the theoretical work after Nishimura (2003) took it for granted that the data in (4)–(6) were correct. However, the examples were based on the intuitions of Nishimura (2003) and Kawahara (2006), the authors of the papers themselves. Kawahara (2011b) raised a concern about this methodology – using data based on the intuitions of the authors themselves.

The issue of the quality of intuition-based data has recently been much discussed especially in syntax (Schütze 2011), but also in phonology (see Kawahara 2011b for an overview). To briefly summarize the potential concerns, first, some ‘phonological patterns’ have been shown to be non-productive with experiments using nonce words (Ohala 1974; Sanders 2003; Vance 1987). Second, it is questionable whether the data based on an author’s intuition can be generalized to the general population of Japanese speakers.<sup>7</sup> Third, the inner sensations of Nishimura (2003) and Kawahara (2006) cannot be observed from outside, hence cannot be replicated objectively. Fourth, linguists may unconsciously oversimplify a pattern when they report data based on their own intuition (Watanabe 2009). Finally, it is not clear whether Nishimura (2003) and Kawahara (2006) were completely unbiased when they provided the data (Gibson and Fedorenko 2010). These concerns are important to address, because generative theories are often developed using intuition-based data, and if such data are not reliable, then the theory would lose its empirical foundation.

To address these concerns, a series of judgment studies have been run using naive native speakers (Kawahara 2011a, 2011b, 2013). To take Kawahara (2011a) as an example for illustration, the experiment asked the participants to rate the naturalness of devoicing in four contexts: (1) OCP-violating geminates (/d...dd/), (2) non-OCP-violating geminates (/...dd/), (3) OCP-violating singletons (/d...d/), and (4) non-OCP-violating singletons (/...d/). The participants were given one form (e.g. /**doggu**/) and the other variant form with devoicing (i.e. /**dokku**/) and were asked how natural that second form is as a pronunciation of the first form. The experiment was thus a naturalness judgment experiment on a phonological process. The study used a 5-point naturalness scale from ‘very natural’ to ‘very unnatural’.

Figure 2 shows the results of the rating study by Kawahara (2011a). Japanese speakers found the devoicing of OCP-violating geminates most natural (the leftmost bar), which shows that the intuitions provided by Nishimura (2003) and Kawahara (2006) were not ungrounded. However, the story was not as simple as that. First, the Japanese speakers found the devoicing of non-OCP-violating geminates more natural than that of OCP-violating singletons (2nd vs. 3rd bar). Second, OCP made devoicing of singletons more natural too (3rd vs. 4th bar), although devoicing singleton consonants were judged to be unnatural overall. Importantly, there was no clear line that divides the continuum into two categories, ‘grammatical devoicing’ and ‘ungrammatical devoicing’, contra what Nishimura (2003) and Kawahara (2006) claimed. This non-dichotomous distinction among the four conditions is observed

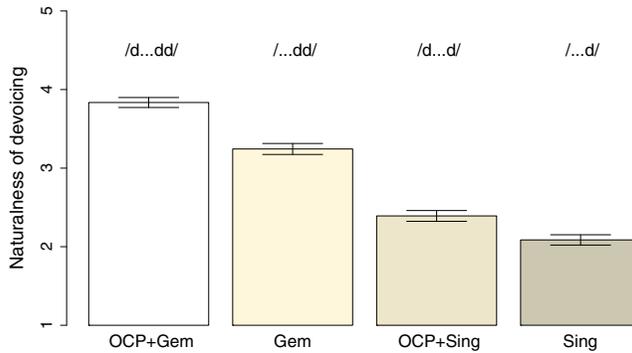


Fig. 2. The average naturalness ratings of the devoicing of the four conditions (Kawahara 2011a). Reprinted with permission from Springer. The error bars are 95% confidence intervals.

even when the participants used a binary yes/no response format in a follow-up experiment (Kawahara 2013).

It thus turned out that native speakers' judgment patterns are more gradient than the 'grammatical' vs. 'ungrammatical' dichotomy, contra the common assumption in generative grammar; the classic example is that *brick* and *blick* are both grammatical, whereas *bnick* is not, but no further distinctions are posited. However, this beyond-binary distinction in judgment pattern is in fact well-attested cross-linguistically in phonotactic judgment patterns (see Pierrehumbert 2001 for an overview). The results of the judgment studies reviewed in this section show that gradient patterns hold for judgment patterns of a phonological process as well (i.e., when native speakers judge the naturalness of devoicing in several environments). These experiments thus lend support to the view that linguistic knowledge cannot be modeled as a matter of the grammatical vs. ungrammatical dichotomy.

In addition, Kawahara (2011a) found that various linguistic factors other than OCP and geminacy impact the naturalness of devoicing. For example, the presence of multiple triggers (e.g. /**deibiddo**/ 'David') made devoicing more natural. Second, speakers' ratings were lower when devoicing resulted in the merger of two lexical items; e.g., devoicing /**baggu**/ 'bag' would be homophonous with /**bakku**/ 'back', and such patterns were rated as less natural. Third, speakers rated the devoicing of more frequent items as more natural, as shown in Figure 3. This aspect of devoicing is more fully addressed in Section 6.

All of these results show that the characterization of the devoicing described by Nishimura (2003) and Kawahara (2006) involved oversimplification (see also Watanabe 2009), which in turn highlights the importance of experimentation in phonological research.

### 5. Corpus Studies

All the judgment experiments show that Japanese speakers judge OCP-violating geminates to be the most natural. The results of the judgment experiments thus lend some credibility to the intuition-based data presented in Nishimura (2003) and Kawahara (2006). Nevertheless, a question still remained because speakers' intuition and their actual speech behavior do not always match (Labov 1996) – what do native speakers of Japanese actually do? Kawahara and Sano (2013) and Sano and Kawahara (2013) took up this issue by exploring the behavior of voiced geminates in actual utterances.

These studies used the Corpus of Spontaneous Japanese (the CSJ) (NINJAL 2008). This database is a large database of spoken Japanese, which comes with a rich annotation system.

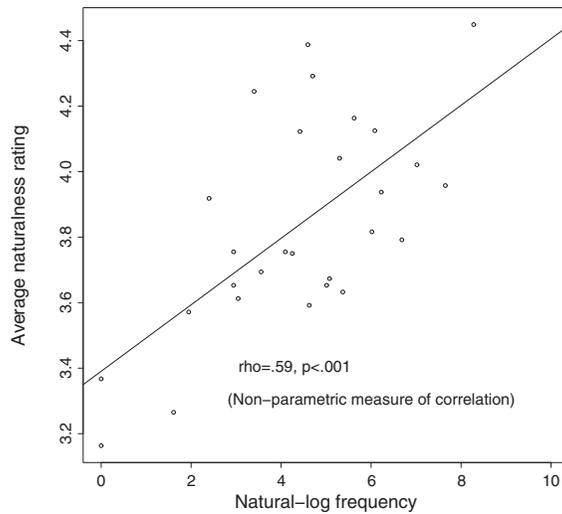


Fig. 3. The correlation between average naturalness ratings (y-axis) and lexical frequency (x-axis) (Kawahara 2011a). Reprinted with permission from Springer.

The annotation provides both underlying forms and surface forms, which allows us to assess whether voiced geminates are devoiced or not. The corpus studies confirmed that OCP-violating geminates appear more often as devoiced (about 40%) than do non-OCP-violating geminates (about 5%). Kawahara and Sano (2013) also (more or less) confirmed the frequency effect found by Kawahara (2011a), shown in Figure 3.

Kawahara and Sano (2013) also found an effect of place of articulation on the devoicability of geminates as well: the further back the place, the more likely the geminates are to devoice. This patterning is in accordance with the well-known aerodynamic difficulty hierarchy of voiced stops (Hayes and Steriade 2004; Ohala 1983). The further back the place, the smaller and less flexible the oral cavity behind the constriction is, the harder it is to maintain voicing.

In addition to these grammatical factors, Sano and Kawahara (2013) found that non-grammatical factors impact the likelihood of devoicing. For example, female speakers were found to devoice geminates more often than male speakers. Other non-grammatical factors that were found to impact the devoicability of geminates include age (younger speakers devoice more), speech style (devoicing is more likely in informal speech), education level (people with higher education devoice less), and others. See also Watanabe (2009) for a related observation.

To summarize, the corpus analyses revealed that several factors affect the devoicability of geminates, both grammar-internal and grammar-external. As with the grammaticality judgment experiments summarized in Section 4, the corpus-based studies show that devoicing of voiced geminates is not as monolithic as it was once thought to be. This practice also raises an alarming message about intuition-based data; the original description reported by Nishimura (2003) and Kawahara (2006) was very much oversimplified. Idealization is necessary in linguistic theorization, but this research shows that idealization is merely a starting point (Riemsma 2009).

### 6. Modeling: Lexical Frequency Effects on Phonology

Finally, Coetzee and Kawahara (2013) proposed a model, which makes one step toward incorporating such complications of actual phonological patterns into linguistic theorization. Recall that there is a correlation between devoicing and lexical frequency, both in the judgment

patterns as well as in the patterning in the corpus. This correlation is an example of an old observation; the probability of an optional process actually applying correlates with the lexical frequency of that particular item (see Bybee 2006). However, generative models were not good at dealing with this observation; phonological theories have often set aside this observation, sometimes in the name of idealization, or sometimes by relegating it to a matter of performance.

Coetzee and Kawahara (2013) proposed a noisy Harmonic Grammar model in which the weights of faithfulness constraints are scaled for each lexical item based on its lexical frequency. Details aside, this system assigns higher weights to non-frequent items and lower weights to frequent items (where the precise values were determined based on  $\beta$ -distributions). As a result, more frequent items are more likely to undergo phonological processes. Their modeling shows a significantly better fit with actual data once frequency effects are incorporated into grammar.

This proposal shows that generative grammatical models can incorporate the effect of lexical frequencies on phonological patterns. It demonstrates that maybe generative phonology is now at a point where we can broaden our empirical coverage, without relying too much on idealization or relegating the frequency effects as a matter of performance.

### 7. A Remaining Challenge: /p/ Causes Devoicing of Geminates

One remaining challenge is the behavior of singleton /p/. All of the previous studies assumed that it is only voiced obstruents that trigger devoicing of geminates. However, recent studies point out that /p/ can trigger devoicing as well. Some examples are shown in (19).

(19) /p/-driven geminate devoicing

- a. /kyu**u**piddo/ → /kyu**u**pitto/ ‘cupid’
- b. /**p**iramiddo/ → /**p**iramitto/ ‘pyramid’
- c. /**a**ipaddo/ → /**a**ipatto/ ‘i-pad’

Kawahara and Sano (2014) showed that singleton /p/ indeed causes devoicing of geminates, based on a corpus study and judgment experiments. The challenge is that none of the theoretical analyses reviewed in Section 2 predict this /p/-driven devoicing, because all of the analyses, in some way or another, assume that the trigger of devoicing is OCP(voice), but the co-occurrence of /p/ and voiced geminates should not violate OCP(voice). To solve this problem, Fukazawa et al. (2015) point out that neither singleton /p/’s nor voiced geminates are allowed in the native phonology (Ito and Mester 1999), and that as a result, these segments are the two most infrequent sounds in the whole Japanese lexicon. They argue that Japanese speakers disfavor the co-occurrences of two unfamiliar sounds within a morpheme.

Kawahara (2015) entertained an alternative analysis of this /p/-driven devoicing based on Japanese orthography: voiced obstruents and /p/ are shown with diacritic marks, the former with *dakuten* and the latter with *han-dakuten* (e.g.,  $\text{バ}^{\text{◌}}$ =[ba],  $\text{パ}^{\text{◌}}$ =[pa],  $\text{ハ}^{\text{◌}}$ =[ha]). Therefore /p/, voiced obstruents, and voiced geminates are all written with an orthographic diacritic. OCP(voice) may then actually be OCP(diacritic), which accounts for both devoicing driven by /p/ and devoicing driven by a voiced obstruent. This analysis is radical in that it (partly) shifts the burden of explanation from sounds to letters. Although Kawahara (2015) ultimately argues against this orthography-based explanation based on several pieces of evidence regarding the behavior of *rendaku*, Kawahara (2015) also suggests that phonologists may need to pay more attention to extra-grammatical factors like orthography.

### 8. Conclusion

This paper has reviewed how the phonology of voiced geminates in Japanese loanwords has been analyzed from different perspectives. This review has shown that we can take one

phonological phenomenon and tackle it from various perspectives: theoretical, experimental, corpus-based, and computational. These approaches can reveal how phonology interacts with other factors (phonetics, lexical, and sociolinguistic), having ramifications in phonological theorization as well as in related fields. This research program has raised various important issues for phonological theorization in general; e.g., how to model constraint interaction, how the phonetics–phonology interface works, how the intuition–based data should be complemented with quantitative studies, and how orthography may or may not affect phonological knowledge, etc. This research therefore highlights the importance of studying one pattern in depth from different perspectives.

### *Short Biography*

Shigeto Kawahara is currently a Senior Assistant Professor at the Keio Institute of Cultural and Linguistic Studies. Before he moved to Keio University, he taught at University of Georgia, Rutgers University, and as a visiting professor, at International Christian University. He has worked both on phonetics and phonology, as well as their interface, and has published articles in many academic journals, including *Language*, *Natural Language and Linguistic Theory*, *Journal of Phonetics*, and others.

### *Notes*

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<sup>2</sup> This paper uses abstract phonemic transcriptions for the sake of simplicity.

<sup>3</sup> Voiced geminates occur in emphatic forms, even in the native phonology (e.g., /hid<sup>h</sup>doi/ ‘very awful’ from /hidoi/). This emphatic gemination is generally non-structure preserving in that it can create any kind of geminates (Kawahara, 2002).

<sup>4</sup> As an anonymous reviewer pointed out, the domain of Lyman’s Law is better characterized as morphemes than stems, and it makes more sense to postulate morpheme as the domain of this local conjunction. Here, however, I follow Nishimura’s formulation of the constraint.

<sup>5</sup> Coetzee and Pater (2011) briefly mention an analysis based on a MaxEnt grammar (Hayes and Wilson 2008), which can also model the devoicing patterns with the three basic constraints. A MaxEnt grammar has two general properties: (i) it uses weighted, rather than ranked, constraints, so that it predicts gang-effects, just like the HG analysis illustrated here; (ii) it inherently predicts variation and therefore explains the optional nature of OCP-driven devoicing. For details of a MaxEnt grammar, see Coetzee and Pater (2011) and Hayes and Wilson (2008). See also Tesar (2007), who raised various issues that arise from a model of grammar using weighted constraints rather than ranked constraints.

<sup>6</sup> Kawahara (2006) stimulated phonetic research on voiced geminates in non-standard dialects of Japanese, which shows that some of Japanese dialects show full voicing during geminates (Matsuura 2012; Takada 2013).

<sup>7</sup> For generative linguistics, it may not perhaps matter whether a pattern generalizes to the whole speech community or not, as long as there is a single individual that instantiates a particular pattern, because every individual grammar must have arisen from Universal Grammar. While this logic itself seems sound, I find it worrisome when the whole theory is built on data from a single individual (cf. Kelkar’s Hindi: Kelkar 1968, cited and discussed by Hayes 1995, pp. 276–278 and Prince and Smolensky 2004, pp. 47–50, especially footnote 22).

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