

Quantization of the  
crossing number of a knot  
diagram and quantities of  
the crossing points (joint  
work with Akio Kawauchi)

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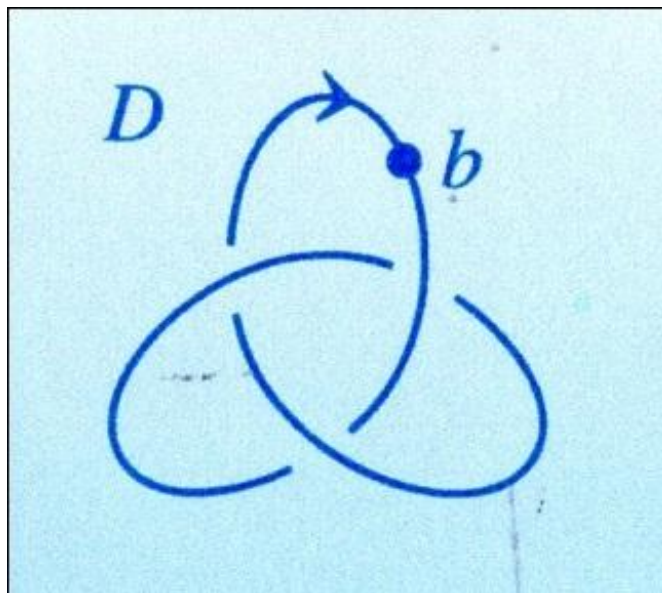
# § 1. Warping degree

- \*Warping crossing point

- \*Warping degree

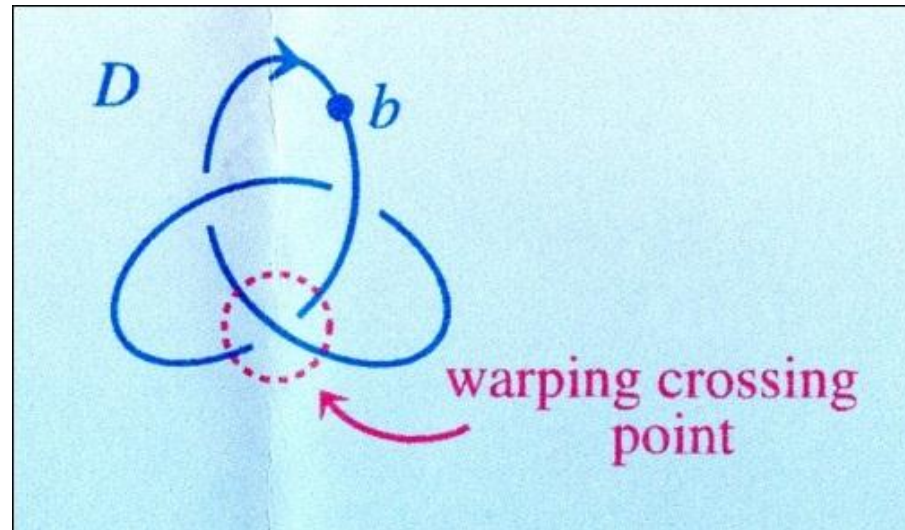
## § 1. Warping degree

$D$ : an **oriented** knot diagram on  $S^2$  }  $D_b$   
 $b$ : a base point of  $D$



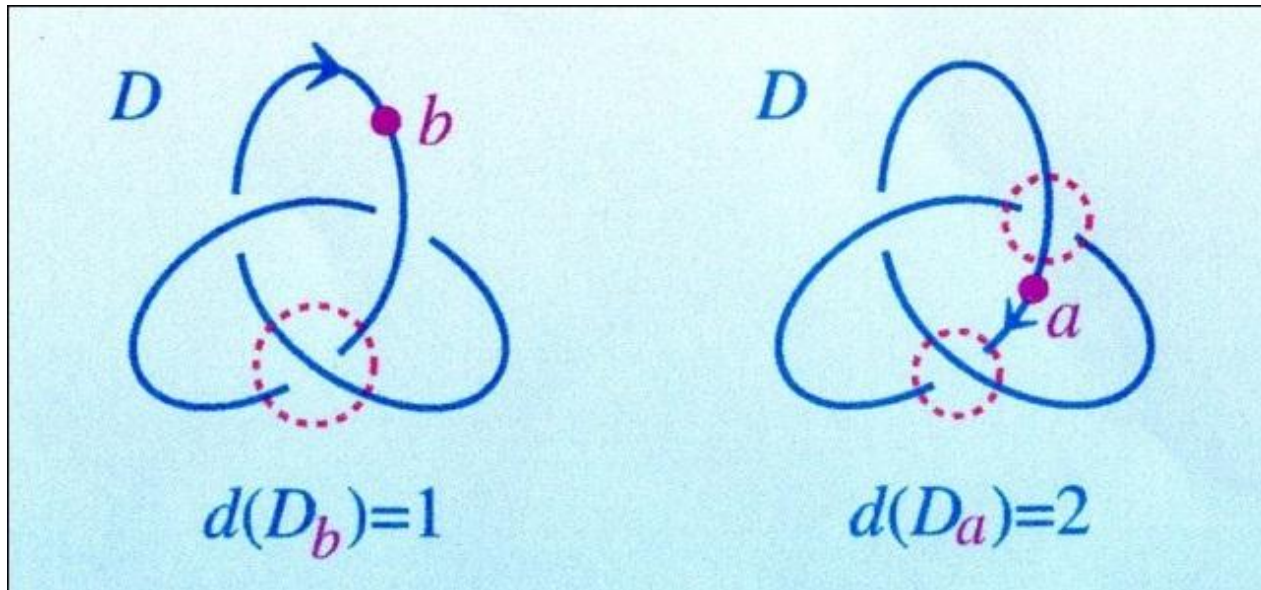
## § 1. Warping degree

A crossing point  $p$  of  $D$  is a **warping crossing point** of  $D_b$  if we come to  $p$  as an **under-crossing** first when we go along  $D$  by starting from  $b$ .



## § 1. Warping degree

The **warping degree**  $d(D_b)$  of  $D_b$  is the number of warping crossing points of  $D_b$ .



## § 2. Warping crossing polynomial

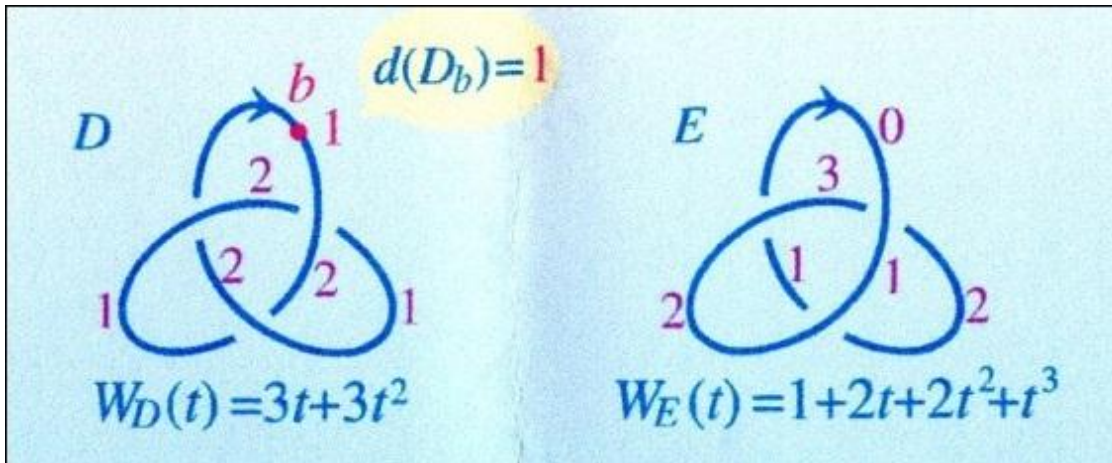
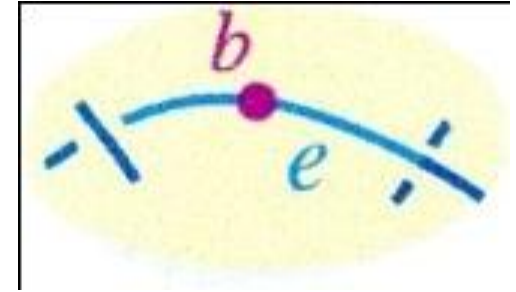
\* Warping polynomial  $W_D(t)$

\* Warping crossing polynomial  $X_D(t)$

## § 2. Warping crossing polynomial

### The warping polynomial of $D$

$$W_D(t) = \sum_{e: \text{an edge of } D} t^{d(e)} \quad (d(e) = d(Db), b \in e)$$



Reference: The warping polynomial of a knot diagram,  
 A. Shimizu, arXiv:1109.5898

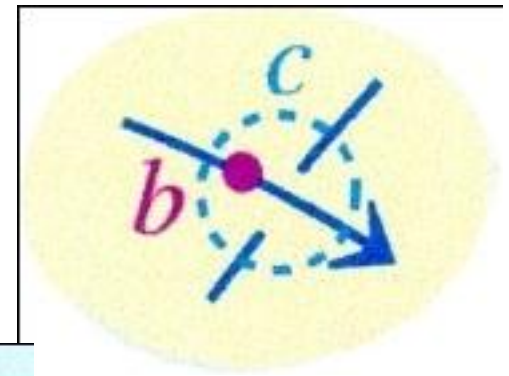


## § 2. Warping crossing polynomial

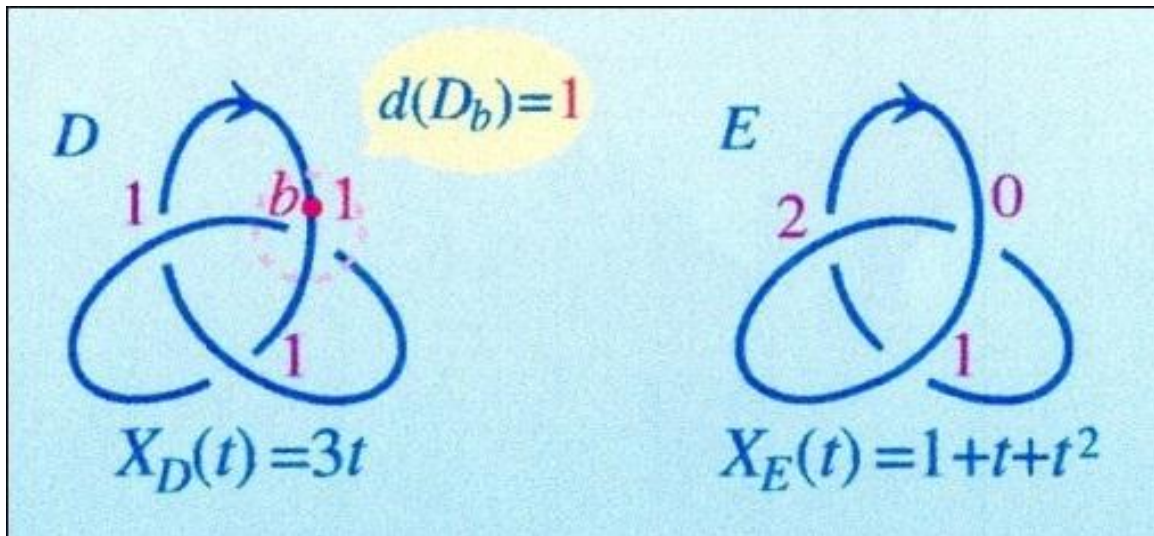
### The warping crossing polynomial of $D$

$$X_D(t) = \sum t^{d(c)}$$

$(d(c) = d(D_b), b \in e)$   
 $c$ : a crossing point of  $D$



crossing  
weight



## § 2. Warping crossing polynomial

Proposition 1.  $X_D(t) = \frac{W_D(t)}{1+t}$

Proof.

Since  $\# \left( \begin{array}{c|c} k & k+1 \\ \hline & \end{array} \rightarrow \right) = \# \left( \begin{array}{c|c} k+1 & k \\ \hline & \end{array} \rightarrow \right)$

and  $\# \left( \begin{array}{c|c} k & \\ \hline & \end{array} \rightarrow \right) = \# \left( \begin{array}{c|c} k+1 & \\ \hline & \end{array} \rightarrow \right),$

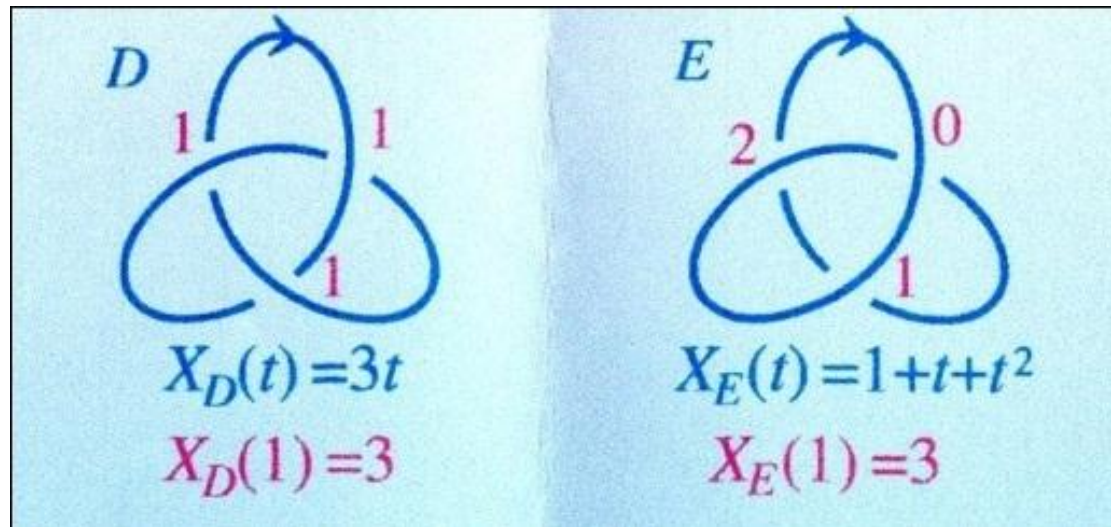
$$W_D(t) = \sum_c t^{d(c)} + \sum_c t^{d(c)+1} = (1+t)X_D(t).$$

□

## § 2. Warping crossing polynomial

### Proposition 2.

Let  $D$  be an oriented knot diagram with the crossing number  $c(D) \geq 1$ . We have  $X_D(1) = c(D)$ .



## § 2. Warping crossing polynomial

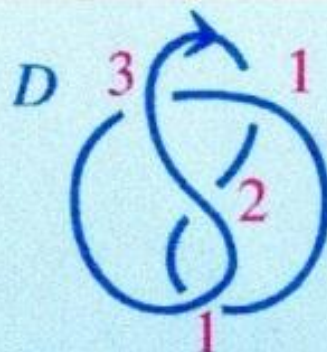
Let  $D$  be an oriented knot diagram with  $c(D)=n \geq 1$ .

### Proposition 3.

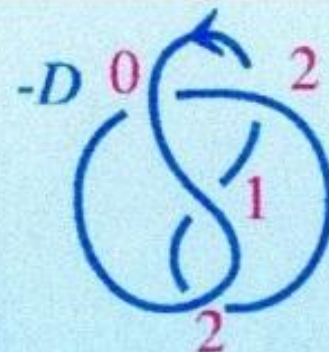
$-D$ :  $D$  with orientation reversed

$D^*$ : the mirror image of  $D$

$$X_{-D}(t) = X_{D^*}(t) = t^{n-1} X_D(t^{-1}).$$



$$X_D(t) = 2t + t^2 + t^3$$



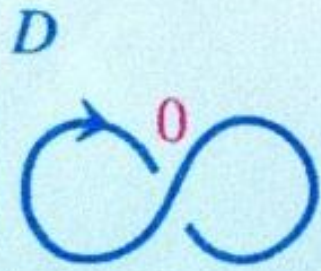
$$X_{-D}(t) = 1 + t + 2t^2$$

## § 2. Warping crossing polynomial

### Proposition 4.

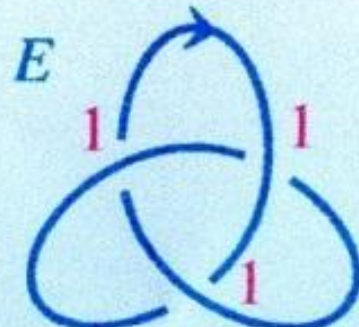
An oriented knot diagram  $D$  with  $c(D)=n \geq 1$  is **alternating** if and only if  $X_D(t) = nt^d$  ( $d=0,1,2,\dots$ ).

$D$



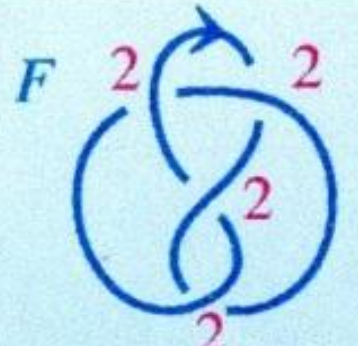
$X_D(t) = 1$

$E$



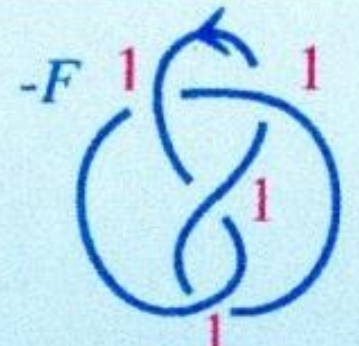
$X_E(t) = 3t$

$F$



$X_F(t) = 4t^2$

$-F$



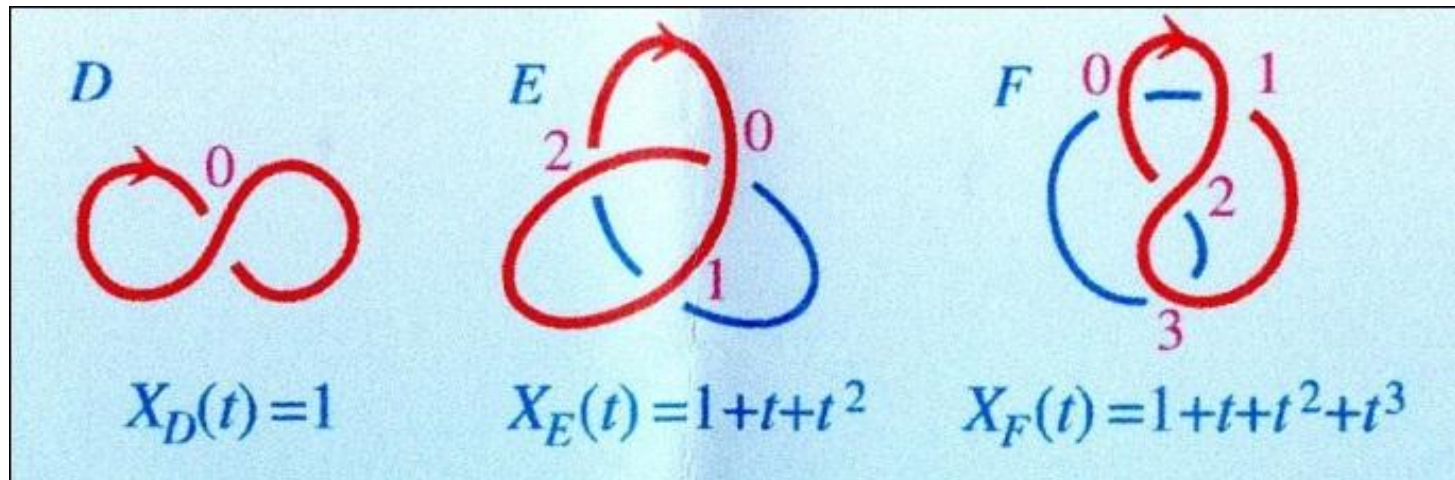
$X_{-F}(t) = 4t$

## § 2. Warping crossing polynomial

### Proposition 5.

An oriented knot diagram  $D$  with  $c(D)=n \geq 1$  is a **one-bridge diagram** if and only if

$$X_D(t) = 1 + t + t^2 + \dots + t^{n-1}.$$

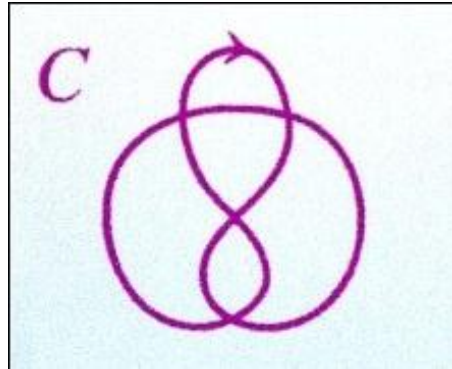


## § 3. State sum

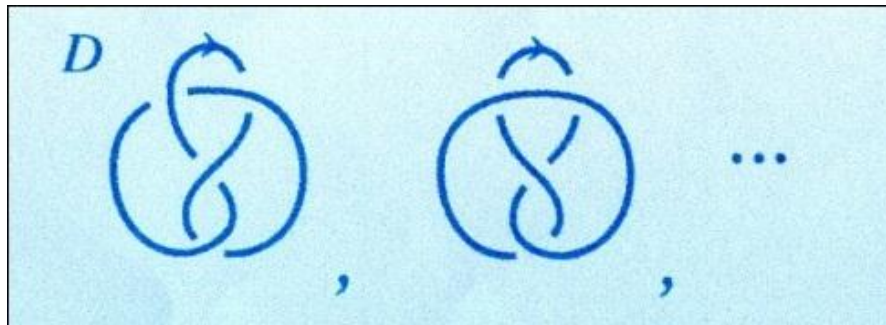
- \* State sum

- \* Crossing change

## § 3. State sum



knot  
projection




states  
for C




# § 3. State sum


**C**




$1+t+2t^2$



$2t+2t^2$

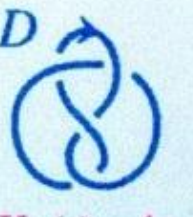


$1+t+t^2+t^3$

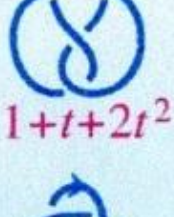


$2t+t^2+t^3$


**D**




$X_D(t) = 4t$



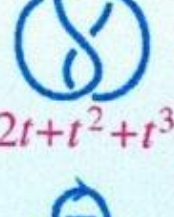
$1+t+2t^2$




$1+t+t^2+t^3$



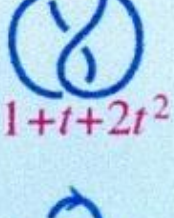
$1+t+t^2+t^3$




$2t+t^2+t^3$




$4t^2$



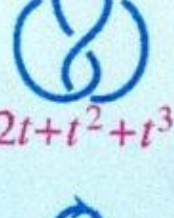
$1+t+2t^2$



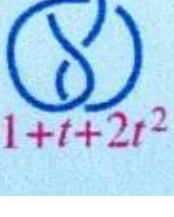
$1+t+t^2+t^3$



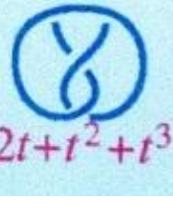
$2t+2t^2$



$2t+t^2+t^3$




$1+t+2t^2$




$2t+t^2+t^3$

# § 3. State sum


**C**




$2+t+t^2$



$1+2t+t^2$




$1+t+t^2+t^3$




$3t+t^2$


**D**




$X_D(t) = 4t$




$1+t+2t^2$




$1+2t+t^2$




$t+2t^2+t^3$




$2t+t^2+t^3$




$4t^2$




$1+t+2t^2$




$1+t+t^2+t^3$




$t+2t^2+t^3$



$1+2t+t^2$



$t+3t^2$



$t+t^2+2t^3$

## § 3. State sum

### The state sum

$$Y_C(t) = \sum X_D(t).$$

$D$ : a state  
for  $C$

Example.

$$\begin{aligned} Y_{\text{⊗}}(t) &= X_{\text{⊗}}(t) + X_{\text{⊗}}(t) + X_{\text{⊗}}(t) + \cdots + X_{\text{⊗}}(t) \\ &= (4t) + (1+t+2t^2) + (2t+2t^2) + \cdots + (4t^2) \\ &= 8 + 24t + 24t^2 + 8t^3 = 8(1+t)^3 \end{aligned}$$

## § 3. State sum

### Theorem 6.

Let  $C$  be an oriented knot projection with  $n$  crossings ( $n \geq 1$ ). Then we have

$$Y_C(t) = 2n(1+t)^{n-1}.$$

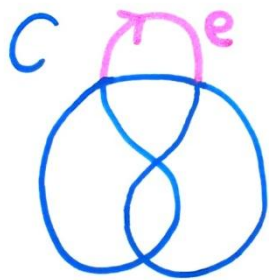
**Remark.**  $Y_{-C}(t) = Y_C(t)$ .

### Lemma 7.

$$\sum_{\substack{D: \text{ a state} \\ \text{ for } C}} W_D(t) = 2n(1+t)^n.$$

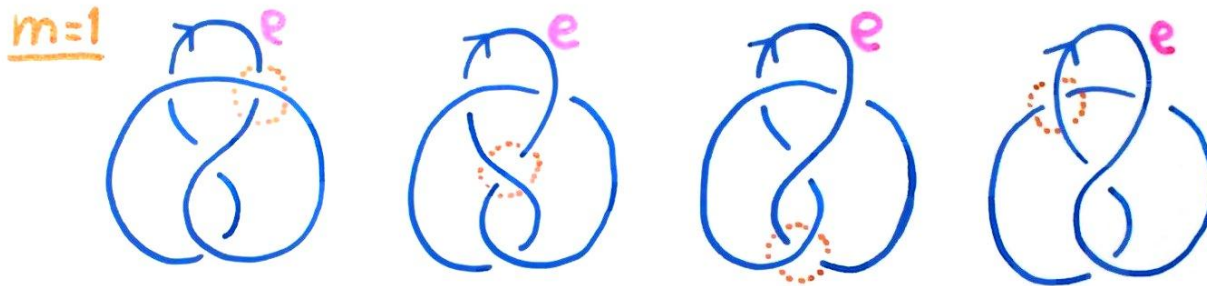
## § 3. State sum

### Proof of Lemma 7.



$C$ : an oriented knot projection  
 $e$ : an edge of  $C$

We can replace all the double points with crossing points so that  $e$  has  $m$  warping crossing points ( $m=0,1,\dots,n$ ) in  $nC_m$  ways.



Hence

$$\sum_{\substack{D: \text{a state} \\ \text{for } C}} W_D(t) = 2n \times nC_0 + 2n \times nC_1 t + 2n \times nC_2 t^2 + \dots + 2n \times nC_n t^n \\ = 2n(1+t)^n.$$

□

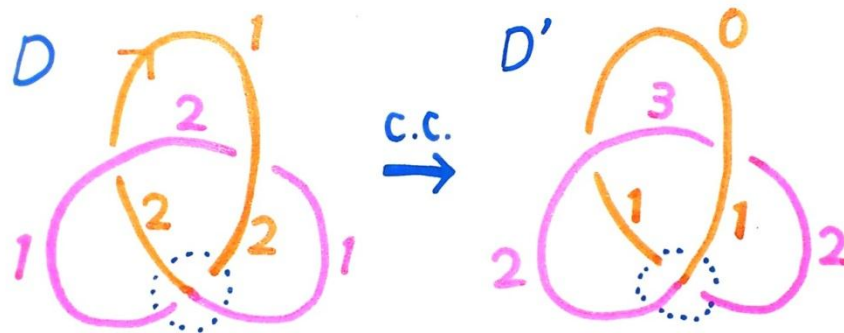
## § 3. State sum

### Proposition 8.

Let  $D$  be an oriented knot diagram, and let  $D'$  be the diagram obtained from  $D$  by a **crossing change**. Then,

$$X_D(t) = \frac{A+B}{1+t}, \quad X_{D'}(t) = \frac{tA+t^{-1}B}{1+t},$$

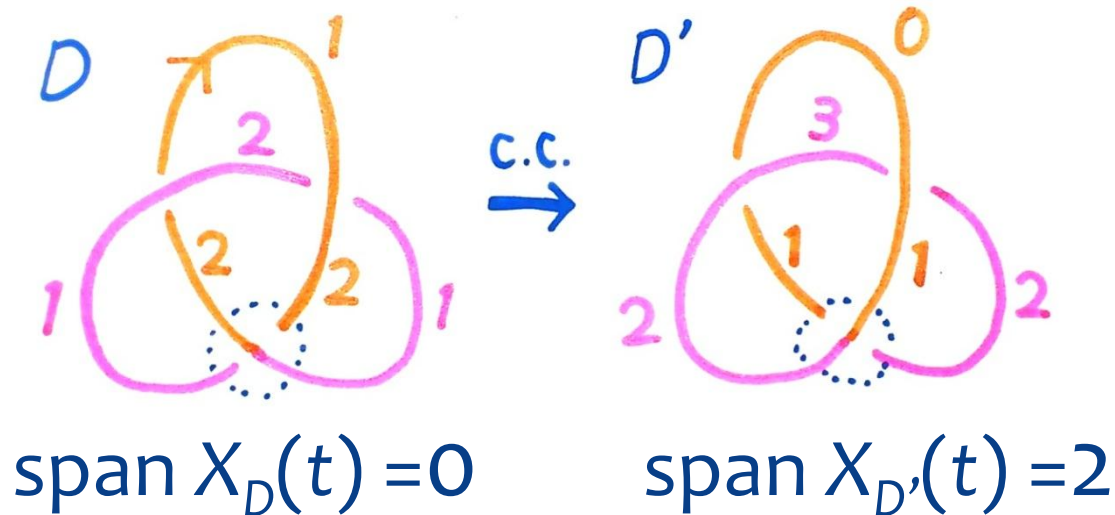
where  $A$  and  $B$  are polynomials.



## § 3. State sum

### Corollary 9.

$$|\text{span } X_{D'}(t) - \text{span } X_D(t)| \leq 2.$$



# § 4. Orientations of plane curves



## § 4. Orientations

The **warping degree**  $d(D)$   
of  $D$  is the minimal degree of  $X_D(t)$ .

Theorem 10(S. 2010).

$$d(D)+d(-D)\leq c(D)+1$$

“=”  $\Leftrightarrow D$  is alternating and  $c(D)\geq 1$ .

Corollary 11.

Let  $D$  be an oriented alternating knot diagram  
with **non-zero even crossings**. Then  $d(D)\neq d(-D)$ .

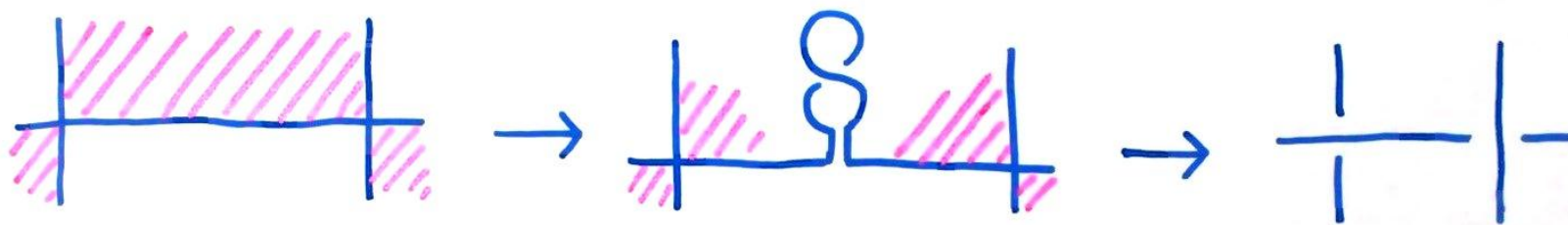
## Theorem 12.

Every based closed transversely intersected plane curve (knot projection)  $C_b$  on  $\mathbf{R}^2$  has a canonical orientation.

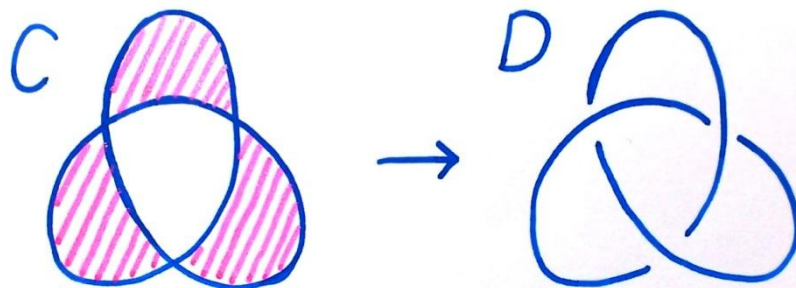
## § 4. Orientations

### Proof of Theorem 12.

① We apply  $C$  the checkerboard coloring such that the outer region is colored white. Then  $C$  can be lifted to a unique alternating knot diagram  $D$  as follows:



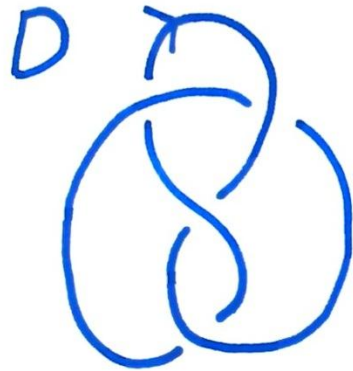
Example.



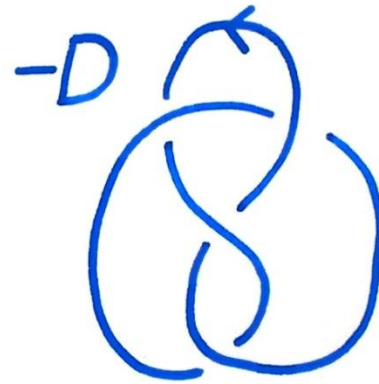
## § 4. Orientations

### ② • $n$ : even

Give  $D$  the orientation such that  $d(D) < d(-D)$ .  
Thus,  $C$  is oriented.



$$X_D(t) = 4t$$
$$d(D) = 1$$

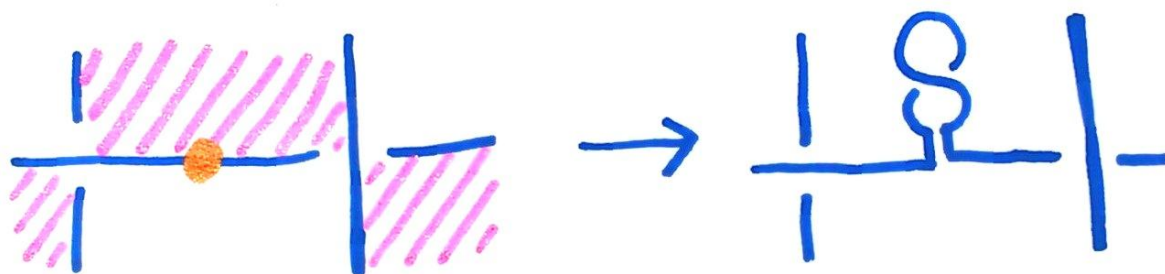


$$X_{-D}(t) = 4t^2$$
$$d(-D) = 2$$

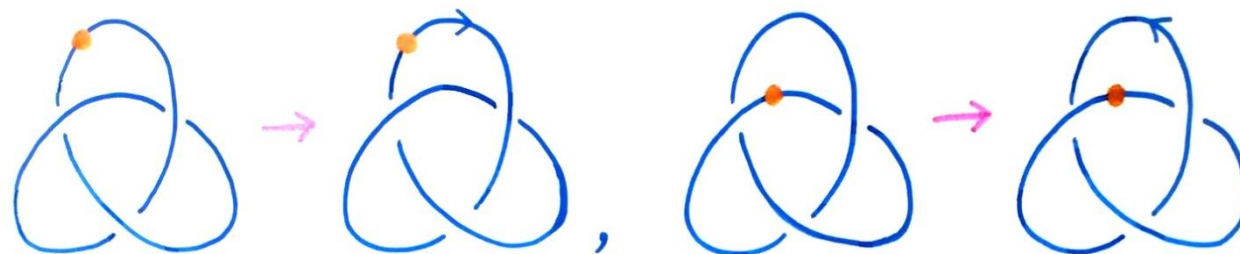
## § 4. Orientations

- $n$ : odd

From  $D$ , we can obtain an alternating diagram  $D'$  with even crossings as follows:



Example.

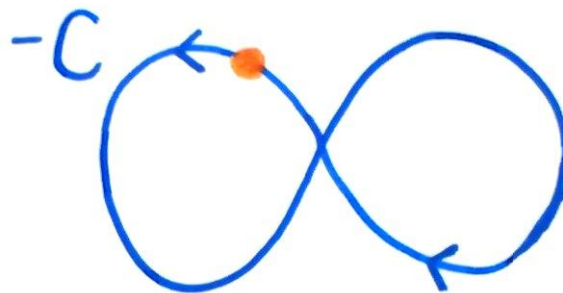
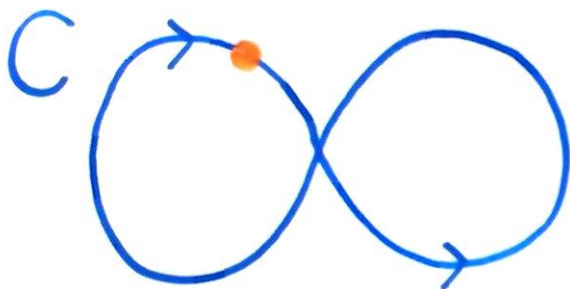


Then  $D'$ ,  $D$ , and  $C$  are oriented. □

## § 4. Orientations

### Corollary 13.

For every based oriented curve  $C_b$ , there is no orientation-preserving, base-point preserving homeomorphism  $\mathbf{R}^2 \rightarrow \mathbf{R}^2$  sending  $C_b$  to  $-C_b$ .





Thank you!