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Cosmetic surgeries and non-orientable surfaces

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Knot Complement Conjecture

If two knots in the 3-sphere S^3
have **homeomorphic complements**,
then they are **equivalent**.

(i.e., $\exists h : S^3 \rightarrow S^3$, homeomorphism
which takes one knot to the other)

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Knot Complement Conjecture

If two knots in the 3-sphere S^3
have **homeomorphic complements**,
then they are **equivalent**.

(i.e., $\exists h : S^3 \rightarrow S^3$, homeomorphism
which takes one knot to the other)

conjectured by Tietze (1908)

proved by Gordon-Luecke (1989)

The Knot Complement Conjecture can be
an immediate corollary to the following:

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The Knot Complement Conjecture can be an immediate corollary to the following:

Theorem (Gordon-Luecke, 1989)

On a nontrivial knot in S^3 ,
nontrivial **Dehn surgery** never yields S^3 .

Remark:

A similar result for $S^2 \times S^1$ is obtained by Gabai (1987).

$E(K)$: the exterior of a knot K in a 3-mfd M
(i.e., $M - (\text{open tubular nbd of } K)$)

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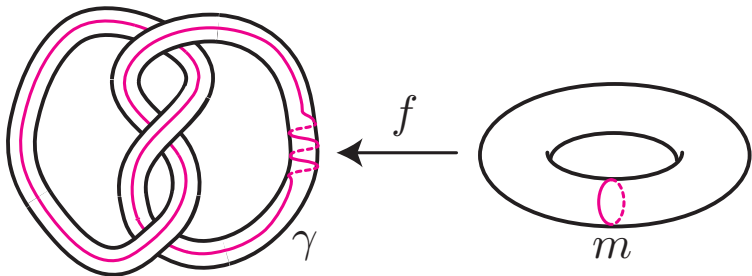
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$E(K)$: the exterior of a knot K in a 3-mfd M
(i.e., $M - (\text{open tubular nbd of } K)$)

Gluing solid torus V to $E(K)$ along slope γ



slope = isotopy class of
non-trivial unoriented simple loop

Parametrization of slope

For a knot K in the 3-sphere S^3 ,
by using a **meridian-longitude** system,
we have a correspondence:

$$\{\text{slope on } \partial N(K)\} \xleftrightarrow{1:1} \left\{ \frac{p}{q} \right\} \cup \left\{ \frac{1}{0} \right\}$$

Parametrization of slope

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by using a **meridian-longitude** system,
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Example

$1/0$ represents the **meridian**
(i.e., bounding a disk in the nhd. of the knot),
 0 represents the **preferred longitude**
(i.e., bounding a surface in the knot exterior).

The Knot Complement Conjecture can be generalized as follows.

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The Knot Complement Conjecture can be generalized as follows.

Oriented Knot Complement Conjecture (Bleiler (Kirby's list Problem 1.81(D)))

If K_1 and K_2 are knots in a closed, oriented 3-manifold M whose complements are homeomorphic via an orientation-preserving homeomorphism, then there exists an orientation-preserving homeomorphism of M taking K_1 to K_2 .

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The OKCC follows from

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The OKCC follows from

Cosmetic surgery conjecture
(Bleiler (Kirby's list Problem 1.81(A)))

Two surgeries on inequivalent slopes are
never purely **cosmetic**.

i.e., if $K(r_1) \cong K(r_2)$ for inequivalent slopes,
then the homeo. is orientation reversing.

We call

- two slopes are **equivalent** if there exists a homeomorphism of $E(K)$ taking one slope to the other.
- two surgeries on K along slopes r_1 and r_2 are **purely cosmetic** if there is an orientation preserving homeomorphism between $K(r_1)$ and $K(r_2)$, and **chirally cosmetic** if the homeo. is orientation reversing.

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Remark:

For "Orientation reversing" case,
there exists **counter-example**. (Mathieu, 1992)

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Remark:

For "Orientation reversing" case,
there exists **counter-example**. (Mathieu, 1992)

Actually

$(18k + 9)/(3k + 1)$ - and
 $(18k + 9)/(3k + 2)$ -surgeries
on the **trefoil knot** $T_{2,3}$ in S^3 yield
orientation-reversingly homeomorphic pairs
for any non-negative integer k .

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2. Known Facts

Rong (1995)

Seifert knots in closed 3-mfds
(except lens spaces)
admitting cosmetic surgeries are classified.

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Known facts (Examples)

Rong (1995)

Seifert knots in closed 3-mfds
(except lens spaces)
admitting cosmetic surgeries are classified.

Matignon (2010)

Non-hyperbolic knots in lens spaces
admitting cosmetic surgeries are classified.

Known facts (Examples)

Rong (1995)

Seifert knots in closed 3-mfds
(except lens spaces)
admitting cosmetic surgeries are classified.

Matignon (2010)

Non-hyperbolic knots in lens spaces
admitting cosmetic surgeries are classified.

They are all **chirally** cosmetic.

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Theorem [Bleiler-Hodgson-Weeks (1999)]

There exists a hyperbolic knot which admits a pair of surgeries along inequivalent slopes yielding **oppositely oriented lens spaces**.

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Theorem [Bleiler-Hodgson-Weeks (1999)]

There exists a hyperbolic knot which admits a pair of surgeries along inequivalent slopes yielding **oppositely oriented lens spaces**.

$$L(49, -19) \leftrightarrow L(49, -18) \quad (\text{mirror image})$$

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Theorem [Bleiler-Hodgson-Weeks (1999)]

There exists a hyperbolic knot which admits a pair of surgeries along inequivalent slopes yielding **oppositely oriented lens spaces**.

$$L(49, -19) \leftrightarrow L(49, -18) \quad (\text{mirror image})$$

It was announced by Matignion (preprint) that there are infinite family extended above.

$\Delta_K(t)$ denotes the Alexander polynomial for K

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$\Delta_K(t)$ denotes the Alexander polynomial for K

Boyer-Lines (1990)

A knot K satisfying $\Delta_K''(1) \neq 0$
has no cosmetic surgeries.

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$\Delta_K(t)$ denotes the Alexander polynomial for K

Boyer-Lines (1990)

A knot K satisfying $\Delta_K''(1) \neq 0$
has no cosmetic surgeries.

Theorem [Boileau-Domergue-Mathieu (1995)]

Non-trivial surgery on a null-homotopic knot K
never yields the original manifold.

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Theorem [Lackenby (1997)]

Let K be a homotopically trivial knot with irreducible, atoroidal exterior in 3-mfd with $\beta_1 > 0$.

Suppose that at least one of the slopes r, r' has a sufficiently high distance with the meridian. Then $K(r)$ and $K(r')$ are orientation preserving homeomorphic if and only if $r = r'$, and are orientation reversing homeomorphic if and only if K is amphicheiral and $r = -r'$.

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Theorem [Bleiler-Hodgson-Weeks (1999)]

For a hyperbolic knot K ,
there exists a finite set of slopes E
such that if r, r' are distinct slopes outside E ,
 $K(r)$ and $K(r')$ homeomorphic implies that
 $\exists h$ orientation reversing isometry s.t. $h(r) = r'$.
In particular, if K is a knot in S^3 ,
then K is amphicheiral and $r = -r'$.

Based on Heegaard Floer technology,

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Based on Heegaard Floer technology,

Theorem [Wang (2006)]

No genus one knot in S^3 admits
purely cosmetic surgeries.

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Based on Heegaard Floer technology,

Theorem [Wang (2006)]

No **genus one knot** in S^3 admits
purely cosmetic surgeries.

Theorem [Wu (2011)]

Let r and r' be two distinct rational numbers
with $rr' > 0$, and K a non-trivial knot in S^3 .
Then $K(r) \not\cong K(r')$ (orientation preservingly).

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Theorem [Ni-Wu (2011)]

Suppose K is a nontrivial knot in S^3 ,
 $r_1, r_2 \in \mathbb{Q} \cup \{0/1\}$ are two distinct slopes
such that $K(r_1) \cong K(r_2)$ as oriented manifolds.
Then r_1, r_2 satisfy that

- (a) $r_1 = -r_2$;
- (b) suppose $r_1 = p/q$, then $q^2 \equiv -1 \pmod{p}$;
- (c) $\tau(K) = 0$, where τ is the invariant
defined by Ozsváth-Szabó.

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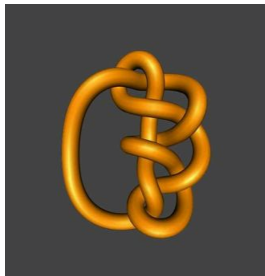
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Let $K = 9_{27}$ in knot table.

Then $K(10/3) \not\cong K(-10/3)$.

In fact, $9_{27} = S(49, 19)$ (2-bridge knot).



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Let $K = 9_{27}$.

- $\Delta_K(t) = -t^3 + 5t^2 - 11t + 15 - 11t^{-1} + 5t^{-2} - t^{-3}$
 $\Rightarrow \Delta_K''(1) = 0.$
- $p/q = 10/3$
 $\Rightarrow q^2 = 9 \equiv -1 \pmod{p = 10}.$
- $K = 9_{27}$ is a slice knot
 $\Rightarrow |\tau(K)| \leq g_4(K) = 0.$

Actually K is a 2-bridge knot
 $\Rightarrow \tau(K) = \sigma(K)$ (signature)

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Fact

If p is even, $K(p/q)$ contains
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Fact

If p is even, $K(p/q)$ contains
a closed **non-orientable** surface.

Let us consider the **minimal genus** of
such non-ori surfaces embedded
in $K(10/3)$ and $K(-10/3)$ for $K = 9_{27}$.

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Fact

If p is even, $K(p/q)$ contains
a closed **non-orientable** surface.

Let us consider the **minimal genus** of
such non-ori surfaces embedded
in $K(10/3)$ and $K(-10/3)$ for $K = 9_{27}$.

Convention: for non-ori surf F
genus of $F := \sharp$ of Mobius bands in F

Claim 1

$K(-10/3) \supset \hat{F}_1$: non-ori surf of genus ≤ 5

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Claim 1

$K(-10/3) \supset \hat{F}_1$: non-ori surf of genus ≤ 5

$\exists F'_1$: non-ori span surf, genus 4, ∂ -slope -4

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Claim 1

$K(-10/3) \supset \hat{F}_1$: non-ori surf of genus ≤ 5

$\exists F'_1$: non-ori span surf, genus 4, ∂ -slope -4

NOTE: $\Delta(-4, -10/3) = |-4 \cdot 3 - (-10) \cdot 1| = 2$

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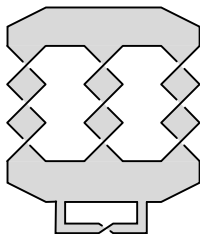
Claim 1

$K(-10/3) \supset \hat{F}_1$: non-ori surf of genus ≤ 5

$\exists F'_1$: non-ori span surf, genus 4, ∂ -slope -4

NOTE: $\Delta(-4, -10/3) = |-4 \cdot 3 - (-10) \cdot 1| = 2$

$\Rightarrow \exists F_1$: non-ori. surf
of genus 5
with ∂ -slope $-10/3$



Claim 2

$K(10/3) \not\cong$ closed non-ori surf of genus ≤ 5

Suppose that $K(10/3)$ contains
 \hat{F}_2 : closed non-ori surf of genus ≤ 5

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Claim 2

$K(10/3) \not\cong$ closed non-ori surf of genus ≤ 5

Suppose that $K(10/3)$ contains
 \hat{F}_2 : closed non-ori surf of genus ≤ 5

We can assume that \hat{F}_2 is **incompressible**.

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Claim 2

$K(10/3) \not\cong$ closed non-ori surf of genus ≤ 5

Suppose that $K(10/3)$ contains
 \hat{F}_2 : closed non-ori surf of genus ≤ 5

We can assume that \hat{F}_2 is **incompressible**.

Proposition (Przytycki, 1983)

\hat{F}_2 can be isotoped so that
 $\hat{F}_2 \cap E(K) =: F_2$ is
incomp, ∂ -incomp, not ∂ -parallel in $E(K)$.

By Dunfield's program, we can verify that:

There are exactly **8** such surfaces in $E(K)$

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By Dunfield's program, we can verify that:

There are exactly 8 such surfaces in $E(K)$

We see that $g(F_2) = 4$ or 5

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By Dunfield's program, we can verify that:

There are exactly 8 such surfaces in $E(K)$

We see that $g(F_2) = 4$ or 5

Case: $g(F_2) = 5$

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By Dunfield's program, we can verify that:

There are exactly 8 such surfaces in $E(K)$

We see that $g(F_2) = 4$ or 5

Case: $g(F_2) = 5$

∂ -slopes are $-2, 2, 6$ (double), 10 .

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By Dunfield's program, we can verify that:

There are exactly 8 such surfaces in $E(K)$

We see that $g(F_2) = 4$ or 5

Case: $g(F_2) = 5$

∂ -slopes are $-2, 2, 6$ (double), 10 .

However $\hat{F}_2 - F_2 = (\text{disks})$
implies ∂ -slope must be $10/3$, contradiction.

$$\text{Case: } g(F_2) = 4$$

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$$\text{Case: } g(F_2) = 4$$

∂ -slope r_2 is either $-8, -4, 0$.

$$\hat{F}_2 - F_2 =$$

a **Mobius band** M in attached solid torus V

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Case: $g(F_2) = 4$

∂ -slope r_2 is either $-8, -4, 0$.

$\hat{F}_2 - F_2 =$

a **Mobius band** M in attached solid torus V

\Downarrow (**single** ∂ -compression on M in V)

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Case: $g(F_2) = 4$

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a **Mobius band** M in attached solid torus V

\Downarrow (**single** ∂ -compression on M in V)

we have

F'_2 : non-ori incomp, ∂ -comp. surf in $E(K)$
with ∂ -slope $10/3$.

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Case: $g(F_2) = 4$

∂ -slope r_2 is either $-8, -4, 0$.

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a **Mobius band** M in attached solid torus V

\Downarrow (**single** ∂ -compression on M in V)

we have

F'_2 : non-ori incomp, ∂ -comp. surf in $E(K)$
with ∂ -slope $10/3$.

\Rightarrow $\Delta(r_2, 10/3)$ must be 2. **contradiction.**

